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Transpiration and moisture evolution in packaged fresh horticultural produce and the role of integrated mathematical models: A review

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2 **horticultural produce and the role of integrated**
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39 **Transpiration and moisture evolution in packaged fresh horticultural produce and the**
40 **role of integrated mathematical models: A review**
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50
51 **Abstract**

52 Transpiration has various adverse effects on postharvest quality and the shelf-life of fresh fruit
53 and vegetables (FFV). If not controlled, the water released through this process results in
54 direct mass loss and moisture condensation inside packaged FFV. Condensation represents a
55 threat to the product quality as water may accumulate on the product surface and/or packaging
56 system, causing defects in external appearance and promoting growth of spoilage
57 microorganisms. Thus, moisture regulation is extremely important for extending FFV shelf-
58 life. This review focuses on transpiration phenomenon and moisture evolution in packaged
59 fresh horticultural produce. It provides recent information on various moisture control
60 strategies suitable for packaging of fresh horticultural produce. It also provides an evaluation
61 on the role and application of integrative mathematical modelling in describing water
62 relations of FFV for packaging design, as well as, an overview of models reported in
63 literature.

64
65 **Keywords:** Moisture loss; packaging; humidity control; mathematical modelling; fresh
66 produce; condensation

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71 **1. Introduction**

72 Fresh horticultural produce are highly perishable commodities, as they remain metabolically
73 active even after harvest. Fresh produce continues to lose water due to transpiration and
74 respiration process. This turns produce shelf-life into a race against the clock for growers,
75 processors, and retailers to maintain quality and reduce food loss (Mahajan, Caleb, Singh,
76 Watkins, & Geyer, 2014). This water loss is usually associated with economic loss since it
77 causes a decrease in saleable mass, due to shrivelling of the product (Caleb, Mahajan, Al-
78 Said, & Opara, 2013; Veraverbeke, Verboven, Van Oostveldt, & Nicolai, 2003b). In addition,
79 moisture loss of the fresh produce can accumulate on the product surface and/or packaging
80 system, causing defects in external appearance and promoting growth of spoilage
81 microorganisms (Kang & Lee, 1998; Linke & Geyer, 2013). This leads to quality
82 deterioration and flavour loss. Hence, it is important to remove or avoid moisture
83 condensation on the product in order to maintain quality and prevent the growth of spoilage-
84 causing microorganisms (Powers & Calvo, 2003).

85 According to Fonseca, Oliveira, and Brecht (2002) the goals of postharvest technology are to
86 maintain freshness quality and reduce losses in the postharvest value chain of fresh fruit and
87 vegetables (FFV). Temperature control and modification of atmosphere are important factors
88 to extend a products shelf life (Fonseca et al., 2002). Nevertheless, besides these two factors
89 the control of storage or in-package relative humidity (RH) is of critical importance (Tano,
90 Oulé, Doyon, Lencki, & Arul, 2007). For example, Rux et al. (2015) investigated the
91 transpiration behaviour of mushroom under different temperature and RH, and determined the
92 effect of salt embedded humidity-regulating tray on in-package humidity and condensation
93 behaviour. The authors reported that the humidity-regulating tray absorbed part of the water
94 vapour produced by mushroom during the 6 d of storage, but its regulatory capacity was not
95 efficient to avoid in-package moisture condensation. Therefore, understanding the
96 physiological response of individual fresh horticultural produce towards optimum
97 packaging/storage system design with adequate humidity control is one of the keys to
98 achieving the postharvest technology goals.

99 Furthermore, mathematical modelling plays an important role in predicting the physiological
100 response of FFV under different storage conditions. Mathematical models offer the possibility
101 to describe characteristic changes in biological systems as a function of different
102 environmental conditions, without the need to access these conditions in real time
103 (Castellanos & Herrera, 2015). This makes it possible to optimise packaging design under
104 different storage conditions for FFV (Kang & Lee, 1998), and to estimate the packaging

105 requisites for specific fresh produce (Caleb et al., 2013; Sousa-Gallagher, Mahajan, &
106 Mezdad, 2013).

107 In this context, the aim of this article is to provide a comprehensive review regarding the
108 transpiration phenomenon and moisture evolution inside packaged fresh horticultural produce.
109 The role and application of integrative mathematical modelling in describing water relations
110 of fresh horticultural produce for packaging design is discussed. In addition, an overview of
111 the various moisture control strategies, mathematical models reported in literature, and future
112 prospects is presented.

113

114 **2. Transpiration phenomenon in fresh horticultural produce**

115 Transpiration is a critical physiological process for FFV (Xanthopoulos, Athanasiou, Lentzou,
116 Boudouvis, & Lambrinos, 2014). Once separated from the mother plant, FFV cannot replace
117 water from the plant and/or soil and depend on their own water content for transpiration and
118 organic substrate for respiration (Caleb et al., 2013). Transpiration phenomenon involves
119 three main stages: i) moisture is transported as liquid and vapour from intercellular spaces to
120 and through the skin of the product; ii) moisture is evaporated from the outer surface layer of
121 the product; and iii) convective mass transfer of the moisture to the surroundings (Becker &
122 Fricke, 2001; Veraverbeke, Verboven, Van Oostveldt, & Nicolai, 2003a). In terms of plant
123 physiology there are four FFV components involved in the transpiration process this include:
124 a) intercellular air spaces, through where water vapour diffuses inside the FFV; b) cuticle,
125 responsible for the transpiration in which liquid water moves to the cell walls on the cuticle
126 side of epidermal cells; where it can evaporate and the vapour is then diffused across the
127 cuticle; c) stomata, through where water vapour diffuses in order to reach the boundary layer;
128 and, d) boundary layer, which is located at the leaf surface and is the final component
129 encountered by diffusing water vapour (Nobel, 2009).

130 Transpiration is driven by a concentration difference and can be described in terms of water
131 activity differences across the membrane, moisture concentration and water vapour pressure
132 differences between a product's surface and its surrounding (Becker & Fricke, 2001;
133 Veraverbeke et al., 2003a, 2003b). Based on this definition, there should theoretically be no
134 potential for transpiration phenomenon at 100% RH (i.e. saturated storage condition) and
135 constant temperature since there is no water vapour pressure difference. However, this is not
136 the case for saturated conditions as transpiration occurs due to the heat generated by the
137 respiration process (Becker & Fricke, 1996; Sastry, Baird, & Buffington, 1977; Tano,

138 Kamenan, & Arul, 2005). Recently, Mahajan et al. (2016) investigated the moisture loss
139 behaviour of three different FFV and a dummy evaporation sphere stored at 13 °C, 100% RH.
140 Results showed that despite water vapour saturation the three tested products lost mass at
141 100% RH, while no mass was lost from the evaporating sphere. These results agree with the
142 hypothesis that respiratory heat can significantly influence moisture evolution from FFV
143 under saturated conditions. This implies that transpiration in packaged fresh produce
144 continues where water vapour saturation is commonly observed. It also indicates that the
145 transpiration process under saturated conditions is a complex process that involves different
146 heat components including respiratory heat generated by the product; evaporative cooling
147 effect on the product's surface; convective heat transfer between the product and its
148 surrounding environment.

149

150 **2.1. Potential effect on postharvest quality of fresh horticultural produce**

151 Transpiration phenomenon causes both water loss and evolution of free water from FFV,
152 which may lead to formation of moisture condensation on the surface of product and/or
153 packaging material. The free water, also known as moisture, facilitates the growth of fungal
154 and bacterial pathogens (Holcroft, 2015; Linke & Geyer, 2013). Water loss results in direct
155 mass loss, shrivelling, gloss reduction, limpness and wilting of horticultural produce. As the
156 produce continues to lose water, its appearance, quality, shelf life, profitability, and consumer
157 appeal diminishes (Holcroft, 2015; Thompson, Mitchell, Rumsay, Kasmire, & Crisosto,
158 1998).

159 Water loss affects FFV in different degrees. According to Holcroft (2015), leafy vegetables
160 wilt after approximately 3 - 5% of water loss, while for nectarines shrivelling occur after 19%
161 of water loss. There is extensive literature stating the maximum permissible water loss (%) for
162 a wide range of FFV (Kays & Paull, 2004; Robinson, Browne, & Burton, 1975; Thompson et
163 al., 1998). For instance, the maximum permissible mass loss for grape and nectarine is 5%
164 and 21%, respectively (Kays & Paull, 2004). For summer squash the permissible mass loss is
165 24%, while for broccoli and carrot with leaves it is 4% (Thompson et al., 1998). Also, fresh
166 produce response to transpiration such as biochemical, microbiological, and physiological
167 changes contribute to quality degradation. These responses are usually temperature dependent
168 and affect transpiration of FFV and low RH can raise transpiration damage leading to
169 dehydration, increased respiratory intensity, and loss of product quality (Castellanos &

170 Herrera, 2015). Therefore, optimum temperature and RH should be maintained for each
171 product in order to extend shelf-life and maintain products quality.

172

173 **2.2. Transpiration measurement**

174 Water loss from FFV, also known as moisture loss or transpiration phenomena, is often
175 expressed as the percentage change in mass of the original or initial product mass. The
176 quantity of water loss over a given period of time is considered as the water loss rate, also
177 referred to as rate of moisture loss or transpiration rate (TR) (Maguire, Banks, & Opara,
178 2001). Calculation of the TR based on moisture loss per unit time is the most used and
179 reported method to describe transpiration phenomenon in fresh horticultural produce (Caleb et
180 al., 2013; Castellanos & Herrera, 2015; Mahajan, Oliveira, & Macedo, 2008a; Shirazi &
181 Cameron, 1993; Sousa-Gallagher et al., 2013).

182 However, there are two main possible approaches to calculate TR of fresh produce. The first
183 approach is by gravimetric measurement of change in product mass over time. The second
184 approach is based on theoretical determination of TR, via the Fick's law of diffusion. It is
185 worth mentioning that the gravimetric measurement of TR is used by many authors to find
186 other parameters, such as the transpiration coefficient and/or tissue and boundary layer
187 resistance that better describes the transpiration phenomenon (Linke, 1997; Sastry &
188 Buffington, 1983; Thompson et al., 1998).

189

190 **2.2.1. Gravimetric approach**

191 The most commonly reported method for measuring TR is by the gravimetric approach, also
192 known as the mass loss approach, which involves periodically weighing the produce at a
193 given temperature and RH. TR can be directly calculated per unit surface area (TR_s) (Eq. 1)
194 and/or per unit of initial mass (TR_m) (Eq. 2) of the produce:

$$195 \quad TR_s = \frac{M_i - M_t}{t \cdot A_s} \quad (1)$$

$$196 \quad TR_m = \frac{M_i - M_t}{t \cdot M_i} \quad (2)$$

197 where M_i is the initial mass of the product; M_t is product mass at a determined time (t); and A_s
198 is the initial surface area of the product. Usually TR_s is commonly expressed in $\text{mg cm}^{-2} \text{h}^{-1}$ or
199 $\text{mg cm}^{-2} \text{s}^{-1}$ and TR_m in $\text{g kg}^{-1} \text{h}^{-1}$, $\text{mg kg}^{-1} \text{h}^{-1}$ or $\text{mg kg}^{-1} \text{s}^{-1}$.

200 Different experimental methods have been reported for the measurement of TR by the mass
201 loss approach (Fig. 1). In some setups, the balance was located outside the experimental
202 container, which limits continuous measurement of product mass loss. In these cases the

203 product has to be taken out of the container to be measured and opening of the container can
 204 result in disturbance of internal atmosphere and RH if it is not carried out with caution
 205 (Xanthopoulos et al., 2014). In the experiment conducted by Kang and Lee (1998), the
 206 chamber was equipped with gas control to maintain the desired oxygen (O₂) and carbon
 207 dioxide (CO₂) concentration in order to incorporate the effect of modified atmosphere as one
 208 of the parameters of TR for apples and minimally processed cut vegetables. A novel setup
 209 was considered by Mahajan et al. (2016) in their study. The authors included an additional
 210 infrared temperature sensor to monitor the products' surface temperature and a sensor for the
 211 surrounding environmental conditions.

212

213 **2.2.2. Theoretical approach**

214 It is well established that transpiration can be visualised as the interaction between a driving
 215 force for mass loss and resistance (Becker & Fricke, 1996, 2001; Leonardi, Baille, &
 216 Guichard, 2000; Sastry, 1985; Sastry & Buffington, 1983). This interaction is expressed
 217 mathematically as:

$$218 \quad TR_m = k_t \cdot (P_s - P_\infty) \quad (3)$$

219 where TR_m is transpiration rate, mass basis (mg kg⁻¹s⁻¹); k_t is transpiration coefficient assumed
 220 constant for a specific product (mg kg⁻¹ s⁻¹ MPa⁻¹); P_s is water vapour pressure at the
 221 evaporating surface of the product (MPa); and P_∞ is ambient water vapour pressure (MPa). In
 222 this mathematical equation the driving force for transpiration is represented by ($P_s - P_\infty$),
 223 which is also known as the water vapour pressure deficit (VPD), and the resistance
 224 represented by the inverse of the transpiration coefficient (k_t). The k_t can be divided into two
 225 terms, as follows:

$$226 \quad \frac{1}{k_t} = \frac{1}{k_s} + \frac{1}{k_a} \quad (4)$$

227 where k_s is skin mass transfer (transpiration) coefficient (mg kg⁻¹ s⁻¹ MPa⁻¹) and k_a is air film
 228 mass transfer (mg kg⁻¹ s⁻¹ MPa⁻¹), also known as convective mass transfer coefficient or
 229 external mass transfer coefficient. Combining Eq. 3 with Eq. 4 yields:

$$230 \quad TR_m = \frac{P_s - P_\infty}{\frac{1}{k_s} + \frac{1}{k_a}} \quad (5)$$

231 What differ among authors in using Eq. 5, are the factors and assumptions that are considered
 232 important or negligible in order to calculate k_s and k_a . In Sastry and Buffington (1983), these
 233 coefficients were represented by $k_s = \frac{\tau}{\delta\varphi}$ and $k_a = \frac{1}{h_d}$, where δ is the diffusion coefficient
 234 of water vapour in air; τ the product skin thickness; φ is fraction of product surface covered by

235 pores; and h_d is convective mass transfer coefficient. In contrast, Fockens and Meffert (1972)
236 expressed skin mass transfer coefficient as $k_s = \frac{\xi_1 \beta}{R_D T}$ and air film mass transfer as $k_a =$
237 $\frac{\xi_2}{1/\beta + \mu s/\delta}$, where ξ_1 is a fraction of surface behaving as a free water zone (non-dimensional); β
238 is a convective mass transfer coefficient (m s^{-1}); R_D is a universal gas constant ($\text{J kg}^{-1}\text{°C}^{-1}$); T
239 is the ambient temperature (°C); ξ_2 fraction of surface behaving as porous membrane (non-
240 dimensional); μ is resistance factor (non-dimensional); s is skin thickness (m); and δ is
241 diffusion coefficient of water vapour in the air ($\text{m}^2 \text{s}^{-1}$).

242 Different ranges of transpiration coefficients are shown in Table 1. Limitations of using
243 transpiration coefficients are that they are restricted to certain range of experimental
244 conditions; and often product specific. For example, there is a significant difference in
245 transpiration coefficient of carrot ranging from 106 to 3250 $\text{mg kg}^{-1} \text{s}^{-1} \text{MPa}^{-1}$, based on
246 various assumptions adopted in the calculation (Linke & Geyer, 2001). Also, different
247 experimental methods are used for determining the transpiration coefficient, which results in
248 different values even for the same product (Sastry & Buffington, 1983). However, Eq. 3 is a
249 simple mathematical equation that can be used to predict the TR of a specific product. In
250 order to use this equation details on transpiration coefficient of the specific product and the
251 calculated water pressure difference between the FFV and surrounding environment are
252 required. To determine the ambient water vapour pressure, psychrometric charts, which relate
253 temperature, RH and water vapour pressure can be used.

254 A similar approach to determine the TR of FFV is by the use a known tissue and boundary
255 layer resistance. Figure 2 presents the dynamics of water loss rate during the postharvest
256 storage of FFV in this approach at constant heat and mass transfer conditions, and under pre-
257 defined experimental conditions. The first section is characterised by the atmospheric
258 evaporation of free surface water from the product. In this case the intensity of transpiration is
259 solely dependent on the boundary layer resistance. However, when free water is no longer on
260 the surface, water is transported from inside the produce to the surface, but with an additional
261 resistance due to internal membranes, called tissue resistance. This additional resistance is
262 evident by the decrease in the slope of water loss rate over time as shown in the second
263 section. At this point, the water potential of the produce is also reduced, as shown in the third
264 section (Linke, 1997). The reduction in water potential is important because the flow of liquid
265 and/or gaseous water out of a produce, tissue or plant cell, as well as the rate of water
266 movement directly depends on the water potential gradient between the produce, tissue, or
267 plant cell and the surroundings (Gomez Galindo, Herppich, Gekas, & Sjöholm, 2004: Nobel,

268 2009). Water potential can be defined as the free energy of water within the respective
269 system, such as produce, tissue, plant cell, or solution compared to that of pure water (Rodov
270 et al., 2010). Thus, water potential is indicative of the true water deficit of a system
271 (Herppich, Mempel, & Geyer, 1999). In addition, in plant physiology, water potential is
272 generally accepted as the best parameter to describe actual tissue water status (Herppich,
273 Mempel, & Geyer, 2001).

274 In this approach the resistances in the water vapour pathway can be determined by using a
275 modified Fick's law in terms of resistances, as shown in Eqs. 6 and 7, while taking into
276 consideration the conditions presented in section 1 and 2 (Fig. 2).

$$277 \quad TR_s = \frac{x_p - x_A}{r_B + r_T} \quad (6)$$

278 where TR_s is transpiration rate, area basis ($\text{mg cm}^{-2} \text{s}^{-1}$); x_p is volume related water content of
279 air in the intercellular spaces in the centre of the produce (mg cm^{-3}); x_A is volume related
280 water content of the air unaffected by the produce (mg cm^{-3}); r_B is boundary layer resistance
281 in the water vapour pathway (s cm^{-1}); and r_T is tissue resistance in the water vapour pathway
282 (s cm^{-1}), which includes tissue and skin of the fruit or vegetable. However, the tissue
283 resistance approach becomes negligible when produce surface is wet and therefore the
284 following equation is valid:

$$285 \quad TR_s = \frac{x_{ps} - x_A}{r_B} \quad (7)$$

286 where x_{ps} is the water content of the air at the produce surface, mg cm^{-3} (Fig. 3). Tissue
287 resistance is determined by the nature of the plant tissue, which is exclusively dependent on
288 the internal properties of the product, such as the water activity and sugar. Other factors
289 influencing tissue resistance of horticultural produce include pre-harvest conditions and
290 postharvest handling practices (Linke, 1997).

291 On the other hand, the boundary layer resistance is determined by the form of FFV epidermal
292 layer. It is dependent on external parameters such as shape, dimensions, and surface structure
293 of the product, as well as environmental conditions such as air flow conditions and surface
294 temperature of the produce. For the determination of the boundary layer resistance the water
295 loss rate has to be measured under natural convection. Once boundary layer resistance is
296 known, tissue resistance can be determined by Eq. 6, as long as the centre of the produce is
297 water saturated. In Table 2 it is possible to visualise different tissue resistance found by Linke
298 and Geyer (2000). The boundary layer resistance for single produce items at unrestricted
299 natural convection and room temperatures was in the range between 1 and 4 s cm^{-1} for small

300 and bigger FFV, respectively. Both theoretical approaches for estimating TR, via transpiration
301 coefficient or tissue resistance, have specific limitations due to the different values found in
302 the literature. However, they are very useful tools to calculate the TR of FFV since no
303 experimental data is required.

304

305 **2.3. Factors affecting transpiration**

306 **2.3.1. Intrinsic factors**

307 Fresh produce shape and size, expressed as surface area-to-volume or surface area-to-mass
308 ratios, are major factors affecting the TR_m , especially the boundary layer resistance. Products
309 with large surface area to mass ratios provide a considerable contact area with surrounding
310 atmosphere. For example, horticultural products, such as leafy green vegetables and
311 cauliflowers have higher TR_m , when compared to spherical produce such as oranges and
312 tomatoes with lower surface area (Sastry, 1985). Similarly, morphological and anatomical
313 characteristics of the FFV also have significant effect on TR, specifically on the tissue
314 resistance. Surface structure for each FFV is unique and those which contain skin and/or a
315 waxy coating such as apple, provide extra layers of resistance and therefore the water loss rate
316 in this product is lower than for products without these structures such as mushroom (Sastry,
317 1985). The skin of FFV acts as a barrier to diffusion of water vapour (Maguire et al., 2001).

318 Purity level of water content in FFV can also affect the TR of the product. Water content in
319 most FFV contains dissolved/soluble solids (i.e. total soluble solids). Literature has
320 extensively shown that total soluble solids of FFV significantly differs (Beckles, 2012;
321 Mahmood, Anwar, Abbas, Boyce, & Saari, 2012). Thus, vapour pressure at the evaporating
322 surface is determined by Raoult's law and is a little lower than the saturation water vapour
323 pressure at the same temperature (Sastry, 1985). This effect is also known as the vapour
324 pressure lowering effect since it causes a reduction in VPD and directly affects the TR.

325 Additionally, physiological condition, such as the maturity stage in fresh produce after harvest
326 has been shown to significantly influence on TR. In general, immature and over mature fruit
327 transpires more rapidly than optimally mature fruit due to the permeability of the skin to water
328 vapour (Mishra & Gamage, 2007; Sastry, 1985). The developmental stages of the fruit
329 therefore directly affect the tissue resistance of the product. However, factors are often
330 eliminated as a variable on mathematical models of transpiration due to lack of a reliable
331 quantitative maturity index (Sastry & Buffington, 1983).

332

333 **2.3.2. Extrinsic factors**

334 Impacts of factors such as temperature and RH on TR of fresh horticultural produce have been
335 extensively investigated over the last decade. Mahajan et al. (2008a) found that by increasing
336 the RH in the storage containers for whole mushrooms from 76% to 96%, TR decreased by
337 87% at 4 °C, whereas decreasing the temperature from 16 °C to 4 °C decreased the TR by
338 61% at 96% RH. Caleb et al. (2013) also showed that by increasing RH inside storage
339 containers for pomegranate arils from 76% to 96%, decreased TR by 83.5% at 5 °C, while
340 decreasing the temperature from 15 °C to 5 °C, TR decreased by 68.9%. Xanthopoulos et al.
341 (2014) reported that the TR for grape tomatoes increased with temperature from 15 °C to 20
342 °C, while it decreased for RH 80% to 92%. These studies showed that humidity is the variable
343 with the greatest effect on TR, and the magnitude of TR decrease is product dependent.
344 Aguirre, Frias, Barry-Ryan, and Grogan (2009) expressed the visual quality of mushroom
345 stored under different temperatures and humidity using VPD instead of the RH to avoid the
346 interaction between temperature and RH. Although VPD is a conventional variable for
347 refrigeration technology, package designers and food technologists usually employ the RH.

348 Airflow around fresh produce and/or through the packaged product, also have a significant
349 influence on TR. Baltaci, Linke, and Geyer (2010) measured the water loss rate of artificial
350 fruits (water filled evaporating spheres) inside a plastic box in three layers under natural
351 convection and forced airflow (0.8 m s⁻¹). The authors showed that differences in TR were
352 dependent on the produce position inside and airflow. They also found that TR was higher
353 under forced airflow than under natural convective conditions. Air movement around the
354 product prevents the development of a microenvironment with high-humidity build-up
355 (Sastry, 1985), and this decreases the resistance of the air films to mass transfer.

356 Physical conditions and surface injuries such as cuts, bruises and scratches on the skin surface
357 of FFV, tend to increase the TR, as they reduce the tissue resistance due to modification of the
358 skin (Holcroft, 2015; Maguire et al., 2001). FFV have 2 to 3 times higher TR after harvest
359 when compared to the steady state values due to the physical injuries caused by detachment
360 from the mother plant (Sastry et al., 1977). However, during the storage period once the
361 injuries are healed TR reduces to a lower and relatively steady value (Sastry, 1985).

362 Also, heat removed from the evaporating surface during transpiration causes a lowered
363 surface temperature and therefore a decreased vapour pressure at the surface, reducing
364 transpiration (Becker & Fricke, 1996). This effect, also known as evaporative cooling, is more
365 noticeable at high water vapour pressure differences. In this situation evaporation has a

366 considerable effect on the driving force and consequently on transpiration (Sastry, 1985).
367 However, respiration increases the product's surface temperature because of heat generation
368 and this increases water vapour pressure at the surface, increasing transpiration (Becker &
369 Fricke, 1996). This effect, also referred to as respiratory heat generation, is usually low for
370 moderate water vapour pressure but can grow into a dominant factor at RH close to saturation.
371 The respiration phenomena produces an additional mass loss due to carbon loss but it is
372 considered negligible (Sastry, 1985).

373

374 **3. Moisture evolution in packaged fresh horticultural produce**

375 Packaging of FFV leads to accumulation of moisture in the headspace as it acts as an
376 additional barrier for moisture transfer. The main source of this moisture is the product itself,
377 however, temperature fluctuations along the supply chain also plays an important role for
378 moisture evolution and condensation (Powers & Calvo, 2003). Factors affecting moisture
379 transfer and RH in packaged fresh produce are water vapour permeability of the packaging
380 films, transpiration and respiration of product, and storage conditions (Lu, Tang, & Lu, 2013).
381 Therefore, selection of appropriate packaging materials is one of the essential steps for
382 achieving optimum humidity conditions in packaged fresh produce.

383 The optimum humidity levels vary in each product, yet in order to reach the maximal
384 postharvest life span it should be taken into account (Ben-Yehoshua & Rodov, 2002). For
385 most FFV the storage conditions should be within 85% and 98% RH. Nonetheless, for
386 products such as garlic and onion storage at RH higher than 70 to 75% at optimum
387 temperatures results in excessive water absorption leading to rooting, mould development and
388 sprouting (Rodov, Ben-Yehoshua, Aharoni, & Cohen, 2010). In the review by Paull (1999)
389 the possible effects of temperature and RH on fresh commodity quality was extensively
390 discussed. The author also provided a detailed summary of optimum RH and temperature as
391 well as shelf life for a wide range of FFV.

392 Current modified atmosphere packaging (MAP) designs consider the respiration rate of
393 products as the only important parameter when selecting target gas barrier properties.
394 However, besides in-package gas composition, it is also essential to take into consideration
395 the in-package humidity level. In order to avoid moisture condensation and accelerated
396 growth of spoilage microorganisms (Caleb et al., 2013; Mahajan et al., 2014; Song, Lee, &
397 Yam, 2001). The in-package humidity is determined by transpiration and respiration of the
398 fresh produce and water vapour permeability of the packaging material. Most polymeric

399 materials (polyethylene, polypropylene or polyvinyl chloride) used in MAP have lower water
400 vapour permeability relative to the TR of fresh produce (Rux et al., 2016; Song et al., 2001).
401 This leads to further development of MAP into a modified atmosphere and humidity package
402 (MAHP) system, since evaporated water molecules from the produce are not effectively
403 transmitted across the packaging film and prevail within the package. Hence, the challenge of
404 designing an effective MAHP system is finding a solution to design optimal atmosphere and
405 lessen the risk of in-package moisture condensation while still keeping produce mass loss as
406 low as possible.

407

408 **3.1. Moisture condensation dynamics**

409 Condensation is the process in which water vapour turns into liquid form as a result of
410 temperature differences (Joyce & Patterson, 1994). The temperature at which this process
411 occurs is known as the dew point temperature (Holcroft, 2015). Condensate will be formed on
412 any product that is at or below the dew point temperature of the surrounding air. For every
413 temperature and RH combination at constant pressure, there is a specific and measurable dew
414 point temperature and in order for condensation to appear the temperature has to fall only by a
415 fraction of a degree (Joyce & Patterson, 1994). Therefore, dew point measurement is a very
416 useful parameter to anticipate moisture condensation and develop control measures. It can be
417 measured directly by means of special sensors or calculated from temperature and humidity
418 following the known laws of psychrometry. Condensation inside packaged fresh produce
419 occurs when water molecules evaporated from the product surface do not transmit through the
420 packaging film and stay within the package (Fig. 4). Horticultural produce specific shape,
421 dimension and surface structure, as well as environmental parameters such as storage
422 temperature, RH, and air flow conditions around the produce have a direct impact on the
423 intensity of condensation process (Rodov et al., 2010).

424 Condensation inside packages of FFV represents a threat to the product quality and safety. It
425 is almost inevitable to avoid moisture condensation in the entire postharvest supply chain due
426 to temperature fluctuations. However, there are some recommendations that can be taken into
427 account in order to minimise the condensation this include: i) storage of the product under
428 strict temperature control; ii) maintenance of a continuous cold chain; iii) perform packaging
429 operation under cold condition; iv) temperature conditioning of the packaging material; v)
430 cool the product to above dew point temperature until they are packed and then cool it to the
431 desired storage temperature; and, v) faster warming of cold fruit in order to reduce the time
432 that the produce is wet (Holcroft, 2015).

433 Gottschalk, Linke, Mészáros, and Farkas (2007) developed a model that predicts the
434 condensation and transpiration process on a single fruit under varying ambient conditions
435 along storage time. The model was validated using eight fruits in an open container. Linke
436 and Geyer (2013) determined the condensation dynamics and intensity within plastic film
437 packaging for fruit under fluctuating external temperatures. Using packages of plums as a test
438 case, the authors showed that moisture condensation process occurred with time-delayed and
439 superimposed varying intensities on the surface of the fruit, inner film surface, and inner tray
440 walls (Fig. 5). Moisture condensation in the inner film surface was mainly influenced by flow
441 conditions, external temperature amplitude, and in the inner air volume. On the contrary,
442 moisture condensation on fruit surface was caused primarily by temperature amplitude and
443 cycle time. In summary, for the studied cycle time of 240 min, the condensate remained for
444 53%, 51% and 42% of the cycle time on the inner wall of the tray, plum surface and
445 underneath film, respectively. Further detailed investigations are needed to evaluate and
446 simulate moisture condensate formation via integrative mathematical modelling. Such model
447 can be developed using water vapour related characteristics of packaging materials (water
448 vapour permeability, macro and micro perforations), and physiological characteristics of
449 product (respiration and transpiration) as well as external storage environment (temperature,
450 humidity and air flow).

451

452 **3.2. Moisture condensation control strategies**

453 **3.2.1 Moisture absorbers**

454 This involves the use of various hygroscopic substrates or substances to attract and hold water
455 molecules from the surrounding environment. Desiccant and papers pads are used to wrap
456 fresh produce in order to mitigate moisture accumulation (Ozdemir & Floros, 2004). The use
457 of these salts and polyols packages offers an alternative way to avoid moisture condensation
458 inside the package. It has been shown to have beneficial effect on the shelf life of FFV by
459 reducing microbial growth and preserving colour attributes. Mahajan, Rodrigues, Motel, and
460 Leonhard (2008b) also developed a moisture absorber. Fast absorbing moisture absorbers
461 such as calcium chloride (CaCl_2), potassium chloride (KCl) and sorbitol were mixed with a
462 slow absorbing desiccant such as bentonite in different proportions. Overall results showed
463 that the appearance of mushrooms improved when 5 g of mixed desiccant was packed in 250
464 g of mushroom punnet compared to those packed without desiccant.

465 Similarly, Azevedo, Cunha, Mahajan, and Fonseca (2011) designed desiccants with calcium
466 oxide (CaO), sorbitol, and CaCl_2 in a range of 0.2 - 0.6 g of desiccant mass in varying

467 proportions. The change in moisture content of each of the mixed desiccants was measured at
468 regular intervals up to 5 d at 10 °C. Results showed that optimised desiccant mixture, which
469 contained 0.5, 0.26 and 0.24 g g⁻¹ of CaO, CaCl₂ and sorbitol, respectively, and had a moisture
470 holding capacity of 0.813 g water g⁻¹. Additionally, absorption of excess moisture from the
471 headspace, keeps RH inside the package low (Shirazi & Cameron, 1992). Also, the use of
472 desiccants for FFV with high water activity might lead to excessive moisture loss. Hence,
473 careful application of desiccants based on detailed research is needed.

474

475 **3.2.2 Perforated films**

476 Micro-perforated packaging films are commonly used in fresh produce packaging to enhance
477 O₂ and CO₂ gas permeability and control moisture around FFV. Such packaging films have
478 the advantage to avoid in-package anaerobiosis and therefore may extend the shelf-life and
479 maintain quality of FFV (Jo et al., 2013; Hussein, 2015). Almenar et al. (2007) studied the
480 behaviour of strawberries packaged with two continuous and three micro-perforated films
481 (with different gas permeability) with the purpose of obtaining equilibrium atmospheres of
482 diverse compositions. Results showed that micro-perforated films with one and three holes
483 provided adequate CO₂ and O₂ equilibrium concentrations. However, micro-perforated films
484 do not allow for effective diffusion of water vapour into the environment leading to saturated
485 humidity, moisture condensation and deterioration of fresh packaged horticultural produce
486 (Rodov et al., 2010).

487 Perforations in a polymeric film is based on a compromise principle since perforations affect
488 the film's permeability to O₂ and CO₂ to a higher extent than to water vapour. With macro-
489 perforated packaging films, it is nearly impossible to achieve MA equilibrium, and prevent
490 excessive mass loss and shrivelling of FFV. In ideal packaging, the humidity level should be
491 low enough to prevent moisture condensation but sufficiently high enough to reduce product
492 mass loss, while also having an optimal atmosphere (Rodov et al., 2010).

493

494 **3.2.3 Individual shrink-wrapping**

495 Individual shrink wrapping (ISW) is a passive form of MAP in which a polymer film with
496 selective permeability to CO₂, O₂, ethylene and water is used to pack individual fresh produce
497 in order to maintain its freshness (Dhall, Sharma, & Mahajan, 2012; Megías et al., 2015). The
498 main advantages of this technology are reduced mass loss, minimised fruit deformation,
499 reduced chilling injuries and decay (Dhall et al., 2012). Rodov et al. (2010) reported that
500 shrink wrapping is also efficient in controlling moisture condensation due to a very small

501 headspace volume and negligible temperature differences between the product and the film
502 surface.

503 Rao, Rao, and Krishnamurthy (2000) studied the effect of MAP and shrink wrapping on the
504 shelf life of cucumber. Results showed that shrink wrapping with polyethylene film can
505 extend the shelf life of cucumber for up to 24 d at 10°C. Megías et al. (2015) studied the
506 effect of ISW on the postharvest performance of refrigerated fruit from two zucchini cultivars
507 that differ in their sensitivity to cold storage. Results indicated that ISW zucchini packaging
508 led to improved tolerance to chilling simultaneously with a decrease in oxidative stress,
509 respiration rate and ethylene production. Despite the positive results, this approach is limited
510 to spherical or cylindrical products (e.g. cucumber) because if any part of the product is not in
511 contact with the film then it will lead to moisture accumulation (Rodov et al., 2010).

512

513 **3.2.4 Enhanced water vapour permeable films**

514 Various polymers have been developed with relatively high permeability towards water
515 vapour compared to the commonly used polymeric films such as polypropylene or
516 polyethylene. These include co-extruded and bio-degradable polymeric films with enhanced
517 water vapour permeability. Co-extruded films consist of blends of different hydrophilic
518 polyamides with other polymeric and non-polymeric compounds. The different blends allow
519 manufacturing materials varying in water vapour permeability, in accordance with required
520 in-package RH levels (Rodov et al., 2010).

521 As an example, Aharoni et al. (2008) used a co-extruded packaging film Xtend® (StePac,
522 Tefen, Israel) and reported that Xtend® can effectively modify both atmospheric composition
523 and RH inside packaging containing various FFV. Similarly, cellulose-based NatureFlex™
524 (Innovia films, Cumbria, UK) polymeric films also held a good potential for application in
525 packaging of fresh produce as it has a very high water permeability ($200 \text{ g m}^{-2} \text{ d}^{-1}$ at 25 °C
526 and 75% RH) as against the conventional polypropylene film with $0.8 \text{ g m}^{-2} \text{ d}^{-1}$ water
527 permeability (Sousa-Gallagher et al., 2013). Also, water vapour transmission rate (WVTR) of
528 cellulose based NatureFlex™ polymeric films has been shown to increase with the increase
529 RH. Therefore, care must be taken in designing fresh produce packages, as excessively high
530 water permeability can lead to higher product moisture and mass loss.

531

532 **3.2.5 Humidity-regulating trays**

533 Singh, Saengerlaub, Stramm, and Langowski (2010) reported on the application of humidity-
534 regulating trays incorporated with varying concentrations of sodium chloride (NaCl) for fresh
535 mushrooms. In this study, different percentages of NaCl were introduced into the polymer
536 matrix of the film from which trays were produced. The authors found that the amount of
537 water vapour absorbed by the tray is directly proportional to the percentage of salt
538 incorporated in the trays. Rux et al. (2015) also reported the use of humidity-regulating trays
539 for mushrooms. Trays were produced with NaCl (18% on a weight basis) between the outer
540 barrier layer (polypropylene) and the inner sealing layer (polypropylene/ ethylene vinyl
541 alcohol/ polyethylene). Results showed that humidity-regulating tray maintained a stable RH
542 (93%) inside the package and it absorbed 4.1 g of water vapour within 6 d at 7 °C and 85%
543 RH storage condition. Yet the absorbed water vapour was not enough to prevent water
544 condensation in the package headspace.

545 Furthermore, Rux et al. (2016) optimised the humidity-regulating tray from a thermoformed
546 multilayer structure: polyethylene (outside)/foamed hygroscopic ionomer (active layer) with 0
547 (T-0 tray) or 12 (T-12 tray) wt.-% NaCl/hygroscopic ionomer (sealing layer, inside). The
548 amount of water absorbed was 7.6 and 13.2 g by T-0 and T-12 trays respectively, which
549 indicates that the moisture absorbed by the tray was directly proportional to the amount of salt
550 incorporated into the tray matrix. The addition of salt into polymer matrix of packaging tray
551 represents a novel approach to control in-package humidity for fresh produce. However,
552 further optimisation via mathematical modelling is required for product specific needs.

553

554 **4. Application of integrative mathematical modelling concept**

555 A packaging system for FFV consists of a respiring produce fully enclosed in a tray type
556 package lidded with permeable film. Changes in the amount of water vapour content inside
557 the package will be dependent on transpirational water loss from the product, water vapour
558 transmitted through the packaging film and the water vapour absorbed by the active moisture
559 control system. As a result the following unsteady-state mass balance equation may be used to
560 describe the rate of change of water vapour in the headspace as a function of time:

$$561 \quad \left\{ \begin{array}{c} \text{Water vapour evolution} \\ \text{in a package} \end{array} \right\} = \left\{ \begin{array}{c} \text{Transpirational water loss} \\ \text{from the product} \end{array} \right\} - \left\{ \begin{array}{c} \text{Water vapour transfer} \\ \text{through packaging film} \end{array} \right\} - \left\{ \begin{array}{c} \text{Water vapour absorbed by the active} \\ \text{moisture control system} \end{array} \right\} \quad (8)$$

562 There is a wealth of published information on modelling of moisture evolution in fresh
563 produce (Lu et al., 2013; Mahajan et al., 2016; Rennie & Tavoularis, 2009; Song et al., 2001),
564 yet no systematic study has been conducted to bring all the theoretical models together in a
565 ready to use format. Hence, the sub-sections below present an overview of published models

566 related to product transpiration, water vapour permeation in perforated packaging system and
567 active moisture control systems.

568

569 **4.1. Moisture evolution due to transpiration**

570 There are two approaches commonly used for the mathematical modelling of the transpiration
571 phenomena. The first is based on the diffusion equations of Fick's law (Leonardi et al., 2000;
572 Maguire et al., 2001), and the second approach is based on heat and mass balances (Kang &
573 Lee, 1998; Lu et al., 2013; Song, Vorsa, & Yam, 2002). The model presented by Sastry
574 (1985) is the most basic form of a transpiration model: $TR = k_i (P_s - P_\infty)$. This model was
575 applied primarily to storage situations where steady state conditions prevailed and the key
576 assumption was that temperature of product evaporating surface is the same as its surrounding
577 environment. However, an error is observed in the model at saturated environments (i.e. VPD
578 = 0.0) as discussed previously. Therefore, a more complex diffusion model is required to
579 predict transpiration under saturated and stagnant air flow conditions as observed inside
580 packaged fresh produce.

581 Non-linear models for estimating TR based on Fick's first law of diffusion have been reported
582 in the literature, but very little work has been developed in this area, especially for the
583 prediction of TR under MAP systems. There are at least two major reasons why the
584 mathematical modelling of TR for MAP systems are not well developed this includes: i)
585 modelling of this phenomena needs a complete understanding of the dynamic interactions
586 between permeation through the packaging film and evaporation on produce surface as a
587 result of the heat released from respiration; and, ii) existing models are limited to cooling
588 process and bulk storage, which may not be suitable for MAP systems (Song et al., 2002).

589 It is noteworthy to mention that the difference between a TR_m and TR_s model is the unit of the
590 k_s coefficient. Some authors prefer to use it in terms of mass basis (Caleb et al., 2013; Sousa-
591 Gallagher et al., 2013) since it is easier to determine the mass of product than its surface area,
592 this makes it a more convenient unit (Sastry, 1985). Other authors emphasised on the
593 significance of expressing transpiration per unit area (Linke, 1997; Xanthopoulos et al.,
594 2014), because the area-based transpiration coefficient is not dependent on product mass. An
595 alternative is the use of an area-based transpiration coefficient combined with a statistically
596 determined correlation between surface area and mass for a specific FFV. This approach
597 combines the accuracy of the area based coefficient with the convenience of a quick
598 calculation of the product surface area from the mass.

599 Other approach for modelling TR is based on heat and mass balance between the produce and
600 storage atmosphere and is also shown in Table 3. Kang and Lee (1998) developed a
601 transpiration model to predict moisture loss of fresh produce under ambient and controlled
602 atmosphere conditions. In this model the sum of heat energies transferred through natural
603 convection from surrounding air and generated from respiration inside the produce was
604 assumed to be supplied for evaporating moisture on produce surface. Song et al. (2002)
605 proposed a respiration-transpiration model by applying simultaneous heat and mass transfer
606 principles to known physiological behaviour of fresh produce in MAP. Their model applied
607 the assumption that temperature inside the package was equal to the temperature on the
608 surface of the produce and therefore external heat was negligible. Lu et al. (2013) developed a
609 model for transpiration based on mass change of water vapour. Their model considered;
610 respiratory heat generated by produce, heat absorbed by produce, heat absorbed by gas around
611 the produce, heat absorbed by the package and heat change caused by gas transmission across
612 the package.

613 Mathematical models for transpiration, which takes into consideration the various factors
614 affecting TR, are important tools. They help select targeted package designs with optimum
615 WVTR and help estimate fresh produce shelf life (Kang & Lee, 1998). Models that do not
616 take into account all of the factors can in some cases be satisfactory, but may result in large
617 errors in other cases (Sastry, 1985). However, models that take into account too many factors
618 become complex with limited application flexibility, since some of the parameters may be
619 product specific or not easily measurable. For instance skin thickness, pore fraction in the
620 skin, geometry, thermal diffusivity, and surface cellular structure are factors not easily
621 measured and/or determined (Kang & Lee, 1998). Therefore, an extremely detailed model
622 might not be as useful and convenient as a well-designed simple model (Tanner, Cleland,
623 Opara, & Robertson, 2002). Thus, the development of a successful and accurate mathematical
624 model for transpiration depends on the parameters considered and the assumptions made. In
625 addition, respiration plays an important role on the transpiration phenomena for packaged
626 produce and it is important to take this into account when developing a TR model. Both
627 Fick's law and heat and mass transfer approach can incorporate this parameter.

628

629 **4.2. Water vapour permeation in perforated packaging systems**

630 Mathematical modelling of mass transfer through perforated packaging is commonly used and
631 has been extensively reported in the literature. A detailed review on perforation mediated
632 packaging systems was recently published by Hussein, Caleb, and Opara (2015). An example

633 of the application of mathematical modelling for perforated packaging system can be found in
 634 the study reported by Fishman, Rodov, and Ben-Yehoshua (1996). The authors developed a
 635 mathematical model to study the influence of film perforations on water vapour flux through
 636 the perforated film (Eq. 9):

$$637 \quad F_w = \alpha (H_A - H) \left[\frac{SP_w}{L} + \frac{\pi R_h^2 N D_w}{L + R_h} \right] \quad (9)$$

638 where F_w is the water flux ($\text{m}^3 \text{h}^{-1}$); α is water vapour concentration under saturation vapour
 639 pressure which depends on temperature (non-dimensional); H_A is RH in the ambient
 640 atmosphere (non-dimensional); H is RH (non-dimensional); S is film area (m^2); P_w is water
 641 vapour permeability coefficient of the film found from film specifications ($\text{m}^2 \text{h}^{-1}$); L is film
 642 thickness (m); π is 3.14 (non-dimensional); R_h is radius of perforation (m); N is number of
 643 pores (non-dimensional); and D_w is the diffusion coefficient of water vapour in air ($\text{m}^2 \text{h}^{-1}$).

644 The overall model showed that perforation had more effects on O_2 concentration than
 645 on RH. Although this model was designed for mango fruit; the proposed equations could still
 646 be valid for other commodities if appropriate transpiration coefficients are inserted. Ben-
 647 Yehoshua, Rodov, Fishman, and Peretz (1998) applied the model developed by Fishman et al.
 648 (1996) and evaluated the effects of perforation on MAP with bell peppers and mangoes. The
 649 results showed that perforating the film affects O_2 and CO_2 concentrations as well as moisture
 650 condensation, but not the in-package RH. Lee, Kang, and Renault (2000) developed a model
 651 for estimating changes in the atmosphere and humidity within perforated packages of fresh
 652 produce. The model was based on mass balances of O_2 , CO_2 , nitrogen gas (N_2), and water
 653 (H_2O) and included respiration, transpiration and terms for gas and water vapour transfer
 654 through perforations and films. The water vapour exchange rate through the film was
 655 modelled based on Fick's law. Similarly, Techavises and Hikida (2008) developed a model
 656 based in Fick's law that included atmospheric gas (O_2 , CO_2 and N_2) and water vapour
 657 exchanges in MAP with perforations. The proposed model showed good prediction of gas
 658 concentrations and RH when compared with experimental results. The differential equation
 659 used to obtain the volumetric changes inside a perforated MAP of respiring produce for water
 660 vapour is presented (Eq. 10):

$$661 \quad \frac{dV_H(t)}{dt} = n_p D_H + A_f K_H (P_H - P_T \frac{V_H(t)}{V_T(t)}) \quad (10)$$

662 where n_p is number of perforations (non-dimensional); D_H is effective permeability of one
 663 perforation to water vapour ($10^{-6} \text{m}^3 \text{h}^{-1} \text{kPa}^{-1}$); A_f is surface area of the film package (m^2);
 664 K_H is water vapour transpiration rate of film to water vapour ($10^{-6} \text{m}^3 \text{m}^{-2} \text{h}^{-1} \text{kPa}^{-1}$); P_H is
 665 partial pressure of water vapour outside the package (kPa); P_T is total pressure inside the

666 package (kPa), equal to 101.325 kPa; $V_T(t)$ is total volume of gases inside the package at time
667 t (10^{-6} m^3) and effective permeability (D_H) is a function of perforation diameter (d) in mm:

$$668 \quad D_H = 2.98 \times 10^{-2} d^2 + 5.37 \times 10^{-1} d + 8.22 \times 10^{-1} \quad (11)$$

669 The authors reported that Eq. 10 is valid for water and atmospheric gases in a temperature
670 range of 5 to 25 °C and for film thickness smaller than 0.025 mm.

671 Rennie and Tavoularis (2009) also developed a space and time dependent mathematical
672 model for perforation-mediated MAP. The authors considered respiration, transpiration,
673 condensation, heat transfer (evaporative, convective, and conductive), and convective and
674 diffusive transport of O_2 , CO_2 and N_2 and H_2O through the Maxwell-Stefan diffusion and the
675 convection mass balance model (Eq. 12):

$$676 \quad \rho \frac{\partial \omega_{\text{H}_2\text{O}}}{\partial t} + \nabla \cdot \left(-\rho \omega_{\text{H}_2\text{O}} \sum_{j=1}^n D_{ij} (\nabla x_{\text{H}_2\text{O}} + (x_{\text{H}_2\text{O}} - \omega_{\text{H}_2\text{O}}) \frac{\nabla p}{p}) \right) = -\rho \omega_{\text{H}_2\text{O}} \cdot u \quad (12)$$

677 where ρ is the gas mixture density (kg m^{-3}); t is time (s); $\omega_{\text{H}_2\text{O}}$ is H_2O mass fraction (non-
678 dimensional); D_{ij} is the ij component of multicomponent Fick diffusivity ($\text{m}^2 \text{ s}^{-1}$); $x_{\text{H}_2\text{O}}$ is the
679 mole fraction of water (non-dimensional); p is the total gas mixture pressure (Pa); and u is the
680 velocity vector (m s^{-1}). Their model can be used for steady-state as well as for transient
681 analysis of MAP in a wide range of conditions and is valid to model H_2O transport in the
682 ambient storage environment, the perforations and in the headspace.

683 Li, Li, and Ban (2010) reported a model applicable to non-perforated and micro-perforated
684 MAP films which simulates changes in concentrations of various gases, such as O_2 , CO_2 ,
685 ethylene (C_2H_4) and H_2O inside MAP films over time based on Fick's law of diffusion.
686 While, Mahajan, Rodrigues, and Leflaive (2008c) developed a mathematical model to
687 describe the changes in $WVTR$ as a function of perforation diameter, length and storage
688 temperature in perforation-mediated MAP:

$$689 \quad WVTR = 2.28 D^{1.72} L^{-0.72} e^{-\frac{12.62}{RT_s}} \quad (13)$$

690 where D is the perforation diameter (mm), L is the perforation length (mm), R is the universal
691 gas constant ($0.008314 \text{ kJ mol}^{-1} \text{ K}^{-1}$) and T_s is the storage temperature (K). These studies
692 present the potential role and application of integrated models in the design of perforation-
693 mediated MAP systems for FFV. Their findings also highlight that research needs to develop
694 more flexible and robust models.

695

696 **4.3. Active moisture control systems**

697 A possible solution to control humidity involves the use of moisture absorbers. In this case the
 698 package design requires, in addition to packaging specifications, the selection of appropriate
 699 desiccants and specification of the amount to be used. This respiration-transpiration model
 700 presented by Song et al. (2002) was thus developed into the new model presented by Song et
 701 al. (2001). The new model introduced the moisture sorption behaviour of the absorbent (m) as
 702 follows:

$$703 \quad m = k_{sa} m_{ab}(P_i - P_{ab}) \quad (14)$$

704 where m is moisture absorption rate of the absorbent (kg h^{-1}); k_{sa} is the absorbent mass
 705 transfer coefficient that can be experimentally determined absorbent mass transfer coefficient
 706 ($\text{kg}_{\text{water}} \text{kg}_{\text{dry matter}}^{-1} \text{h}^{-1} \text{atm}^{-1}$); m_{ab} is mass of dried absorbent (kg); P_i is water vapour pressure
 707 inside the package containing absorbent (atm); and P_{ab} is water vapour pressure on the surface
 708 of the absorbent (atm). Additionally, P_{ab} is a function of moisture sorption characteristics of
 709 absorbents and can be estimated (Eq. 15):

$$710 \quad P_{ab} = P_{sp} a_w \quad (15)$$

711 where P_{sp} is saturated water vapour pressure at constant temperature (atm) and a_w is the water
 712 activity of the moisture absorbent (non-dimensional), which can be experimentally
 713 determined as a function of moisture content. The modified model considered moisture
 714 sorption characteristics of absorbent and mass transfer coefficient between adsorbent and
 715 package headspace. The model was successfully validated with blueberries using two
 716 commercial desiccants, Sanwet (Hoechst Celanese, USA) and Xylitol (Sigma, USA).
 717 Although the model predictions were in agreement with experimental data obtained, the
 718 amount of condensation inside the packages was not quantified. Therefore, it is not possible to
 719 optimise the amount of absorber needed to absorb the excess moisture inside the packages.

720 Furthermore, Mahajan et al. (2008b) investigated the kinetics of moisture absorption for
 721 mixed desiccant (CaCl_2 , KCl and sorbitol) at 4, 10, and 16 °C, at different humidity levels
 722 (76, 86 and 96%). Change in moisture content of the mixed desiccant with respect to storage
 723 time was fitted to a Weibull distribution model (Eq. 16).

$$724 \quad M_t = M_\infty \left[1 - e^{\left(\frac{-t}{\beta}\right)} \right] \quad (16)$$

725 where M_t is the moisture absorbed (g) at a determined time t (d); M_∞ is moisture holding
 726 capacity at equilibrium (g); and β is the kinetic parameter, which defines the rate of moisture
 727 uptake process and it represents the time (d) needed to accomplish 63 % of the moisture
 728 uptake process. The moisture holding capacity was found to be dependent on RH, which

729 increased from 0.51 to 0.94 g water g⁻¹ desiccant when RH was increased from 76 to 96%.
730 Similarly, Rux et al. (2016) used a Weibull distribution to fit the moisture uptake data
731 obtained from the individual humidity-regulating trays. The authors found that packaged
732 produce with absorbers lost more mass than control samples. Their findings emphasised the
733 importance of selecting the appropriate and correct amount of moisture absorber in order to
734 prevent excessive mass loss and shrivelling of packaged product.

735

736 **5. Conclusion and future research needs**

737 Harvested horticultural produce are transported from farm to the final consumer. This process
738 involves many challenges since the product continues both metabolic and physiological
739 activities after harvest. Thus, strict control of temperature and RH along the supply chain and
740 storage are decisive factors for maintaining quality of FFV. These factors govern the
741 respiration and transpiration processes and consequently degradation of organic substrates and
742 moisture loss. Appropriate packaging of FFV, under optimum storage conditions, offers a
743 possibility to slow down the physiological processes and extend storage life. However, the
744 control of moisture evolution inside packaged horticultural products is complicated due to
745 numerous factors (intrinsic and extrinsic) and the complexity of their interactions. Therefore,
746 application of integrated mathematical models for water relations presents a possible solution;
747 to integrate different factors affecting moisture evolution inside packaged horticultural
748 products. This is vital in order to match the high physiological product requirements and the
749 mass balance of a packaging system in terms of water vapour inside and outside the package.
750 It will provide a guiding tool for all the role players in food packaging industry on package
751 system optimisation such as selection of packaging film, produce amount, package
752 dimensions, perforation, and moisture control strategies; thereby eliminating the “pack-and-
753 pray” approach commonly adopted by the food packaging industry.

754

755 **Nomenclature**

756 TR_s transpiration rate per unit surface area (mg cm⁻²h⁻¹ or mg cm⁻²s⁻¹)
757 TR_m transpiration rate per unit of initial mass (g kg⁻¹h⁻¹, mg kg⁻¹h⁻¹ or mg kg⁻¹s⁻¹)
758 RH relative humidity (%)
759 M_i initial mass of the product (mg, g or kg)
760 M_t product mass at a determined time (mg, g or kg)
761 A_s initial surface area of the product (cm² or m²)
762 t time (s, h or d)

763	k_t	transpiration coefficient ($\text{mg kg}^{-1}\text{s}^{-1} \text{MPa}^{-1}$)
764	P_s	water vapour pressure at the evaporating surface of the product (MPa)
765	P_∞	ambient water vapour pressure (MPa)
766	k_s	skin mass transfer coefficient ($\text{mg kg}^{-1} \text{s}^{-1} \text{MPa}^{-1}$)
767	k_a	air film mass transfer ($\text{mg kg}^{-1} \text{s}^{-1} \text{MPa}^{-1}$)
768	δ	diffusion coefficient of water vapour in air (m^2s^{-1})
769	τ, s	product skin thickness (m)
770	φ	fraction of product surface covered by pores (non-dimensional)
771	h_d, β	convective mass transfer coefficient (m s^{-1})
772	ζ_1	fraction of surface behaving as a free water zone (non-dimensional)
773	R_D, R	universal gas constant ($\text{J kg}^{-1}\text{°C}^{-1}$)
774	T	ambient temperature ($^{\circ}\text{C}$)
775	ζ_2	fraction of surface behaving as porous membrane (non-dimensional)
776	μ	resistance factor (non-dimensional)
777	x_P	volume related water content of air in the intercellular spaces in the centre of the
778		produce (mg cm^{-3})
779	x_A	volume related water content of air unaffected by produce (mg cm^{-3})
780	r_B	boundary layer resistance in the water vapour pathway (s cm^{-1})
781	r_T	tissue resistance in the water vapour pathway (s cm^{-1})
782	x_{ps}	water content of the air at the produce surface (mg cm^{-3})
783	F_w	water vapour flux through the perforated film ($\text{m}^3 \text{h}^{-1}$)
784	α	water vapour concentration under saturation vapour pressure (non-dimensional)
785	H_A	relative humidity in the ambient atmosphere (non-dimensional)
786	H	relative humidity (non-dimensional)
787	S, A_f	surface area of the film (m^2)
788	P_w	water vapour permeability coefficient of the film ($\text{m}^2 \text{h}^{-1}$)
789	L	film thickness (m)
790	π	3.14 (non-dimensional)
791	R_h	radius of perforation (m)
792	N	number of pores (non-dimensional)
793	D_w	diffusion coefficient of water vapour in air ($\text{m}^2 \text{h}^{-1}$)
794	$V_H(t)$	volume of water vapour inside the package at a determined time (10^{-6}m^3)
795	n_p	number of perforations (non-dimensional)
796	D_H	effective permeability of one perforation to water vapor ($10^{-6}\text{m}^3\text{h}^{-1} \text{kPa}^{-1}$)

797	K_H	water vapour transpiration rate of film to water vapour ($10^{-6} \text{m}^3 \text{m}^{-2} \text{h}^{-1} \text{kPa}^{-1}$)
798	P_H	partial pressure of water vapour outside the package (kPa)
799	P_T	total pressure inside the package (kPa)
800	$V_T(t)$	total volume of gases inside the package at a determined time (10^{-6}m^3)
801	d, D	perforation diameter (mm)
802	ρ	gas mixture density (kg m^{-3})
803	$\omega_{\text{H}_2\text{O}}$	H_2O mass fraction (non-dimensional)
804	D_{ij}	ij component of multicomponent Fick diffusivity ($\text{m}^2 \text{s}^{-1}$)
805	$x_{\text{H}_2\text{O}}$	mole fraction of H_2O (non-dimensional)
806	ω_j	mass fraction of H_2O (non-dimensional)
807	p	total gas mixture pressure (Pa)
808	u	velocity vector (m s^{-1})
809	L	perforation length (mm)
810	T_s	storage temperature (K)
811	m	moisture absorption rate of the absorbent (kg h^{-1})
812	k_{sa}	absorbent mass transfer coefficient ($\text{kg}_{\text{H}_2\text{O}} \text{kg}_{\text{dry matter}}^{-1} \text{h}^{-1} \text{atm}^{-1}$)
813	m_{ab}	is mass of dried absorbent (kg);
814	P_i	is water vapour pressure inside the package containing absorbent (atm)
815	P_{ab}	is water vapour pressure on the surface of the absorbent (atm)
816	P_{sp}	saturated water vapour pressure at constant temperature (atm)
817	a_w	is the water activity of the moisture absorbent (non-dimensional)
818	M_t	is the moisture absorbed (g) at a determined time (days)
819	M_∞	is moisture holding capacity at equilibrium (g)
820	B	kinetic parameter (non-dimensional)

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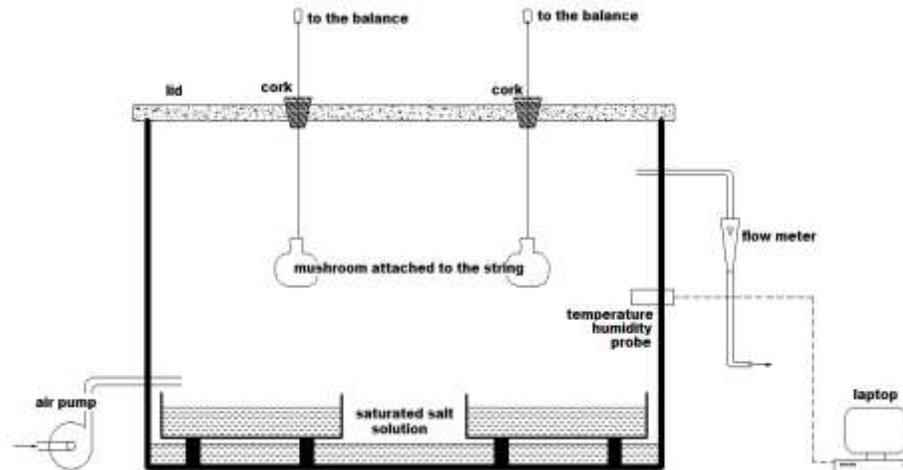
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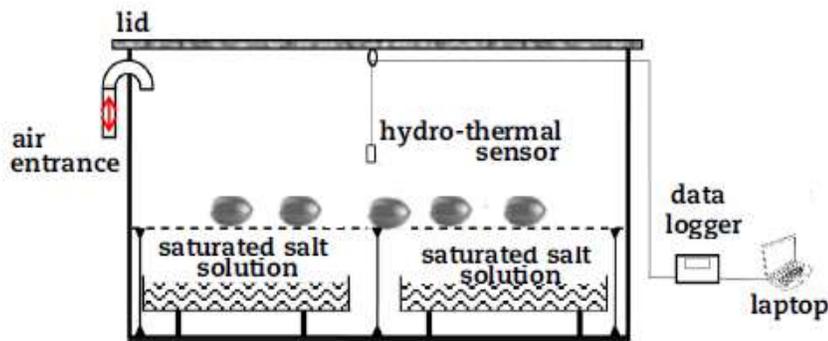
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(A)



(B)



(C)

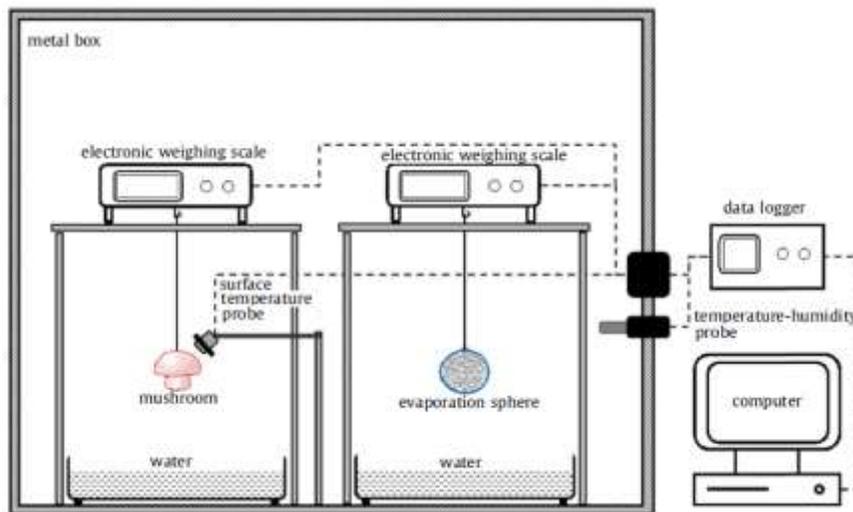


Fig. 1. Schematic representation of a typical experimental setup for used for non-continuous (A and B) and continuous (C) measurement of produce mass loss (Adopted from Mahajan et al. (2008a), Xanthopoulos et al. (2014), and Rux et al. (2015), respectively)

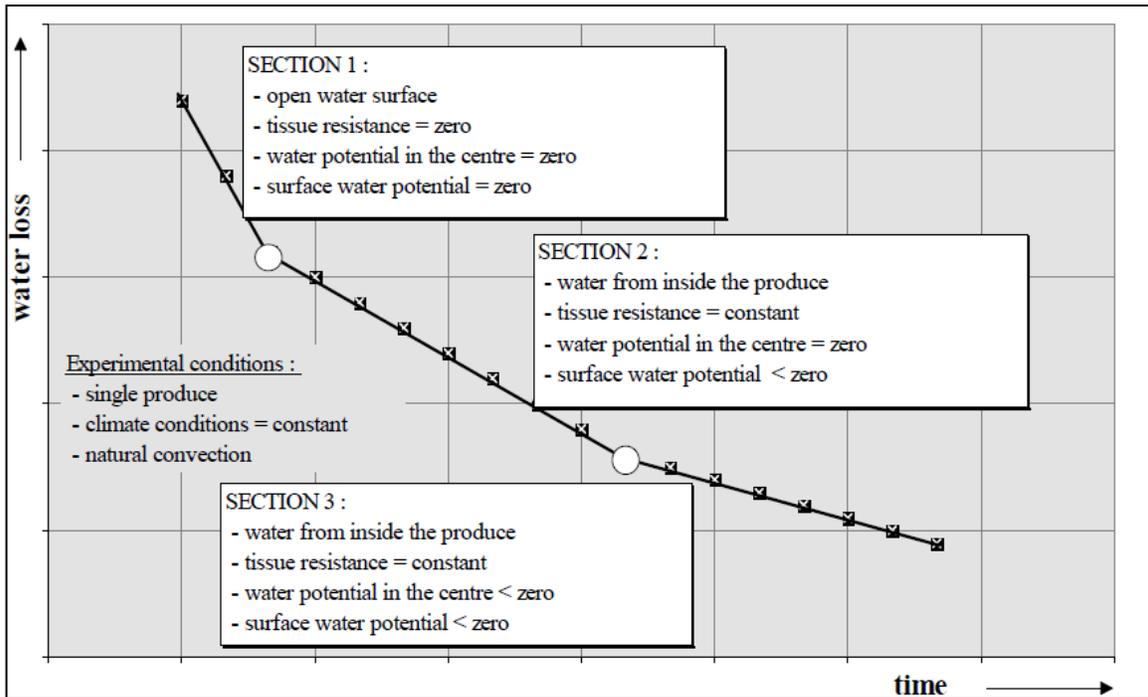


Fig. 2. Sections describing the typical water loss of fruit and vegetables during postharvest storage (Adopted from Linke, 1997)

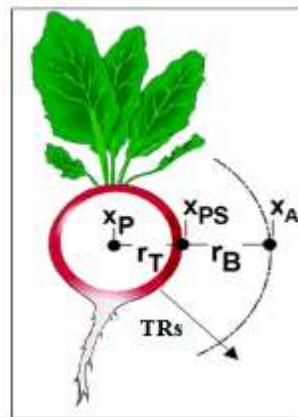


Fig. 3. Basic relations for calculating tissue and boundary layer resistances (Adopted from Linke, 1998)

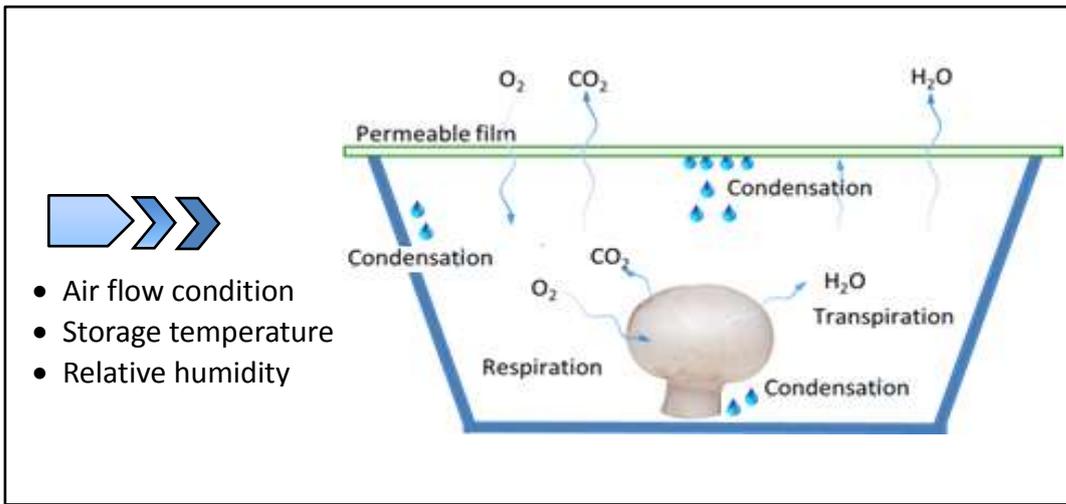


Fig. 4. Condensation in packaged fresh produce and environmental parameters impacting the condensation process

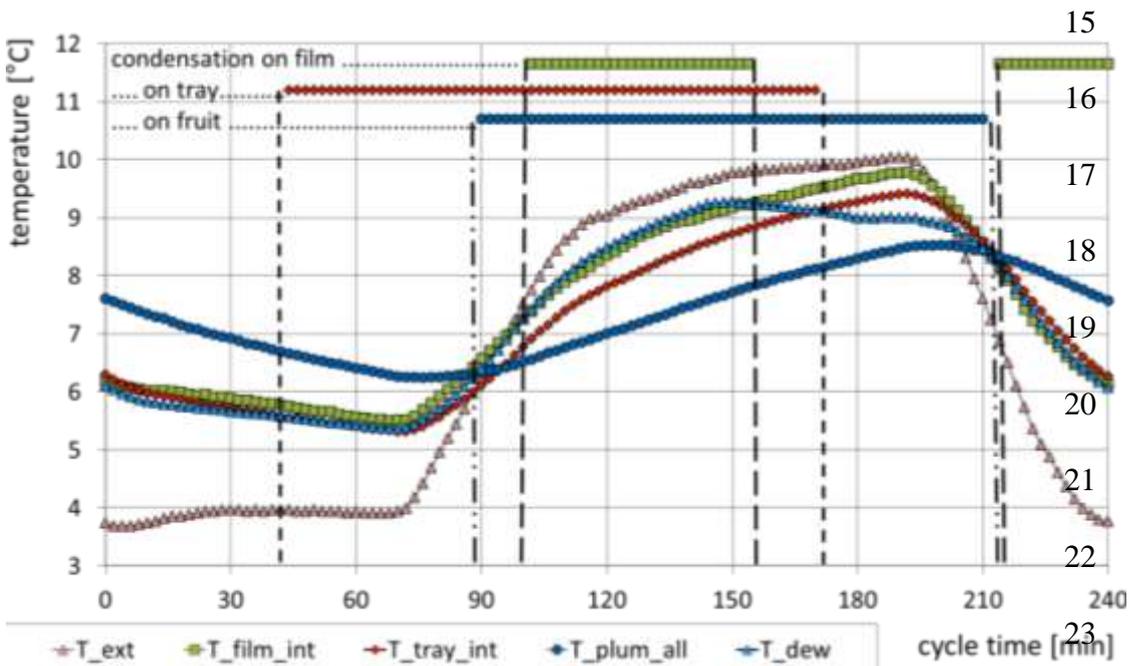


Fig. 5. Condensation dynamics in plastic film packaging containing fresh plums

(Adopted from Linke & Geyer, 2013)

29 **Table 1.** Range of transpiration coefficients for some fresh fruit and vegetables

Fruit	k_t (mg kg⁻¹ s⁻¹ MPa⁻¹)	Vegetables	k_t (mg kg⁻¹ s⁻¹ MPa⁻¹)
Apple	16 - 100	Potato	2 - 171
Pear	10 - 144	Onion	13 - 123
Grapefruit	29 - 167	Tomato	71 - 365
Orange	25 - 227	Cabbage	40 - 667
Grapes	21 - 254	Lettuce	680 - 8750
Plum	110 - 221	Leek	530 - 1042
Lemon	139 - 229	Carrot	106 - 3250
Peach	142 - 2089	Celery	104 - 3313

30 Source: Thompson et al., 1998 compiled from Sastry et al., 1977

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32 **Table 2.** Tissue resistance of single fresh fruit and vegetables after harvest at natural convection

Fruit	Tissue Resistance (r_T, s cm⁻¹)	Vegetables	Tissue Resistance (r_T, s cm⁻¹)
Strawberries	3 - 23	Radish tubers	0.25 - 1.5
Plums	23 - 38	Carrots (without leaves)	1 - 6
Apples	170 - 320	White asparagus	11 - 12.5
		Bell peppers	35 - 80

33 Source: Linke and Geyer, 2000; Linke and Geyer, 2001

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49 **Table 3.** Summary of transpiration rate models applied for various horticultural commodities under different storage conditions and their limitations.

Proposed model equation	Unit	Storage conditions	Product	TR Range	Limitation	Reference
$\frac{Q_r W + h A (T - T_p)}{\lambda}$	kg h ⁻¹	T: 0 RH: 100	Apple	18.4 ² (normal air) 5.7 ² (1% O ₂ , 1% CO ₂) 8.7 ² (3% O ₂ , 3% CO ₂)	Model was not validated; not tested in MAP (tested in controlled atmosphere)	Kang and Lee, 1998
		T: 10 RH: 82	Fresh-cut onion Fresh-cut green onion	447 ² (normal air) 363 ² (normal air)		
$\frac{Q_r W + W C_s \frac{dT_{sp}}{dt}}{\lambda}$	kg h ⁻¹	T: 15, 25 RH: 10, 60	Blueberry	NG	T inside the package was considered equal to the T _s	Song et al., 2002
$\rho \cdot K_i \cdot (a_{wi} - a_w) \cdot (1 - e^{-aT})$	mg cm ⁻² h ⁻¹	T: 4, 10, 16 RH: 76, 86, 96	Mushrooms	0.14 - 2.5 ¹	Model not tested in MAP; does not consider RR	Mahajan et al., 2008
$K_i \cdot (a_{wi} - a_w) \cdot (1 - e^{-aT})$	g kg ⁻¹ 24h ⁻¹	T: 5, 10, 15 RH: 76, 86, 96	Pomegranate arils	48 - 698 ²	Model not tested in MAP; does not consider RR	Caleb et al., 2013
	g kg ⁻¹ h ⁻¹	T: 5, 10, 15 RH: 76, 86, 96	Strawberries	240 - 1160 ²	Model does not consider RR	Sousa-Gallagher et al., 2013
$K_i \cdot e^{\left[-\frac{E_a}{R} \left(\frac{1}{T} - \frac{1}{T_r}\right)\right]} \cdot (a_{wi} - a_w) \cdot \rho \cdot K_i \cdot e^{\left[-\frac{E_a}{R} \left(\frac{1}{T} - \frac{1}{T_r}\right)\right]} \cdot (a_{wi} - a_w)$	g kg ⁻¹ h ⁻¹ mg cm ⁻² h ⁻¹	T: 10, 15, 20 RH: 70, 80, 92	Grape tomato	18 - 107 ² 0.012 - 0.058 ¹	Model not validated; does not consider RR	Xanthopoulos et al., 2014
$K_i \cdot (a_{wi} - a_w) \cdot (1 - e^{-aT}) + 8.6 RR_{CO2,r} \cdot e^{\frac{-E_a}{R} \left[\frac{1}{(T+273)} - \frac{1}{(Tr+273)}\right]}$	mg kg ⁻¹ h ⁻¹	T: 13 RH: 100	Mushrooms Strawberries Tomato	713 ² 122 ² 17.6 ²	Model was not validated	Mahajan et al., 2016

50 ¹ mg cm⁻² h⁻¹ (area based); ² mg kg⁻¹ h⁻¹ (mass based); T is temperature (°C), RH is relative humidity (%), RR is respiration rate Q_r-respiration heat of produce; W-
51 produce weight; h-convective heat transfer coefficient; A-produce surface area; T_s-surrounding temperature; T_p-produce temperature; λ-latent heat of moisture
52 evaporation/vaporization; C_s is specific heat of the produce, T_{sp} product surface temperature; ρ-water density; K_i-mass transfer coefficient; a_w-water activity of the container; a_{wi}-
53 water activity of the commodity; a-coefficient; E_a-activation energy; R-universal gas constant; T_r-reference temperature; RR_{CO2,ref}-respiration rate of the product at T_r and 8.6 is the
54 conversion factor for obtaining TR from the respiratory heat generation, NG is not given.
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