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Design and test of a multi-coil helical evaporator for a high temperature organic Rankine cycle plant driven by biogas waste heat

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Abstract

A direct evaporator for a high temperature organic Rankine cycle (ORC) plant with toluene as a working fluid is designed and tested. The exhaust gas from a 800 kW_e combined heat and power plant is cooled on the shell side of the present heat exchanger, while the working fluid is heated and evaporated within eight helically coiled tubes, constituting a tube bundle. A method to obtain optimal design parameters for this type of heat exchanger is presented, considering the heat source, the ORC and the available space at the test site. After manufacturing, the apparatus is tested to validate the design procedure, focusing on the employed heat transfer and pressure loss correlations on the shell side. It is shown that the predicted values of the overall heat transfer coefficient and the shell side Nusselt number are in good agreement with experimental data, showing a maximum deviation of 5.5%. The measured shell side pressure loss is slightly higher than the predicted value, indicating that the correlation underestimates the pressure loss coefficient by up to 7% at low Reynolds numbers, but has a good accuracy at higher Reynolds numbers. It is observed that it is essential to adjust the mass flow rate of the working fluid in each coil to obtain a homogenous vapor

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quality. A reliable operation of the direct evaporator with a maximum heat flow of 225 kW is shown.

Keywords: Direct evaporator, Organic Rankine cycle (ORC), Multi-coil helical heat exchanger, Waste heat recovery

1. Introduction

With a rising global demand for energy and the associated increase in fossil fuel consumption, dramatic environmental issues due to air pollution and climate change have emerged [1, 2]. Much of the primary energy used in industrial applications is discharged to the environment in the form of waste heat [3, 4] so that there is a large potential for savings by exploiting such heat sources. Moreover, there are many renewable sources, e.g. geothermal, solar thermal or biomass combustion waste heat, that can be used to contribute to the development of a sustainable energy supply [5, 6, 7]. The organic Rankine cycle (ORC) is a promising technology for these objectives. Like the Rankine cycle that operates with water, the ORC converts a heat flow into mechanical power, but relies on other working fluids, which allow for the use of low temperature heat, simple cycle designs and the possibility of small scale power plants [8].

Despite the fact that the idea of using a working fluid other than water in steam engines already emerged in the early 19th century, the beginning of modern ORC research is based on the work of D'Amelio in the 1930s and led to a first commercial power plant in 1952 [9]. The rapidly growing number of publications until today [10] can serve as an indicator of the general interest in ORC technology and the need for its optimization. Most publications are of theoretical type and deal with the cycle design and the selection of an optimal working fluid [11]. As a key component, also various types of expanders were intensively studied [12, 13]. Only limited research is available for heat exchangers directly related to the usage in ORC plants [14], although the evaporator is a particularly challenging component because its design has to be adopted closely to the heat source. On the one hand, a too small sized evaporator would yield incomplete evaporation, leading to an insufficient turbine output power or even damage, on the other hand an oversized evaporator correlates with too high investment costs. Most present ORC plants use an intermediate circuit to transfer heat from the source to the working fluid [10], which entails high investment costs and space require-

32 ments. In addition, a substantial part of the exergy is lost and the achievable
 33 efficiency is reduced, since the maximum temperature of the working fluid de-
 34 creases and also mechanical power for the intermediate cycle pump is needed
 35 [15]. In this context, mainly shell and tube heat exchangers are used with
 36 the working fluid on the shell side, resulting in a high hold up. Alternatively,
 37 heat can be transferred directly from the source to the working fluid, thereby
 38 increasing efficiency and reducing costs. However, such a design may lead to
 39 a more susceptible operating performance due to temperature fluctuations
 40 and a conceivable shortening of the working fluid operating time because
 41 of thermal decomposition at hot spots in the evaporator [16]. In the case
 42 of direct heat transfer, usually once-through heat exchangers are used with
 43 straight finned tubes, in which the working fluid flows through the tubes [17].
 44 Another type is the shell and helical tube heat exchanger, where the working
 45 fluid also flows within the tubes. Compared to heat exchangers with straight
 46 tubes, those of the shell and helical tube type are described as advantageous
 47 in terms of better heat transfer, caused by a secondary flow inside the tubes
 48 [18] and a more compact size, but the secondary flow also leads to a higher
 49 pressure loss. Although this type of heat exchanger is well known and often
 50 used in food and chemical processing as well as in nuclear reactors for steam
 51 generation [19], its use in connection with ORC systems has hardly been
 52 documented in research.
 53 Kosmadakis et al. [20] and Kaya et al. [14] employed a helical coil heat
 54 exchanger as an evaporator in a low temperature solar thermal ORC plant,
 55 where heat transfer takes place from hot liquid water on the shell side to
 56 a single tube coil filled with working fluid. In the high temperature range,
 57 especially for the utilization of exhaust gas waste heat, for which Hatami
 58 et al. [21] reviewed different types of evaporators, only one publication by
 59 Wang et al. [22] is known, where a multi-coil evaporator was used in an ORC
 60 test rig. However, their focus lied on the holistic experiment, but details on
 61 the design parameters and the heat transfer behavior of the heat exchanger
 62 were not presented.
 63 For these reasons, it seemed worthwhile to investigate this type of evaporator
 64 in conjunction with ORC technology, which was carried out in the present
 65 work for a planned high temperature ORC plant, which used toluene as a
 66 working fluid and was driven by waste heat from a biogas combined heat
 67 and power plant (CHP). In the following, the biogas plant with a maximum
 68 electrical output of 800 kWe and an exhaust gas temperature of up to 519°C
 69 is analyzed together with the operating points of the ORC to determine the

requirements for the evaporator. Subsequently, the design procedure for an efficient and compact evaporator is described in detail, including a discussion of the employed heat and pressure loss correlations from the literature. The nominal heat flow transferred from the exhaust gas on the shell side to eight tube coils, filled with toluene, is studied. The results and observations that were obtained after manufacturing of the full scale heat exchanger and the following field tests are discussed to validate the design parameters. Consequently, the present work provides a suitable procedure for the design of an innovative direct evaporator in the field of ORC technology and describes its operational behavior.

2. Design of the helical coil evaporator

The present evaporator is a direct coupling device between the heat source and the ORC working fluid. Therefore, it has an influence on the performance and reliability of two complex plants at the same time so that an appropriate design is crucial. For the present scenario, the heat source and the ORC are thus described and analyzed in the following.

2.1. Heat source

The exhaust gas of a biogas CHP served as the heat source in the present work. A 16 cylinder V-type combustion engine by MWM with a generator set used biogas as a fuel and had a maximum electrical power output of 800 kWe. The engine power was adapted to the fluctuating electrical energy demand and was often operated with semi load. This boundary condition must not affect the operational capability of the ORC and thus had to be considered in the evaporator design process. Important parameters for three load conditions of the engine, as given by the CHP supplier [23], are listed in Tab. 1. The exhaust gas temperature was in a range between 468 and 519°C and increased with decreasing load. Assuming its exploitation down to 150°C, the exhaust gas heat load was between 257 and 419 kW, which corresponds to 22-25% of the primary fuel energy. Furthermore, it was necessary to know the composition of the exhaust gas, which can be calculated from the known composition of the biogas, being a mixture of methane (CH_4) and carbon dioxide (CO_2), and had a volume fraction of 52% and 48%, respectively, in the present scenario. Complete combustion can be assumed with an air fuel ratio of 1.69 that leads to a calculated molar exhaust composition of 70.3% nitrogen (N_2), 11.2% water (H_2O), 10.8% CO_2 and 7.7% oxygen (O_2). For this

105 exhaust gas mixture, the highly sophisticated GERG-2008 equation of state
 106 [24] allowed for the calculation of temperature-dependent thermodynamic
 107 properties, except for the transport coefficients. The dynamic viscosity of
 108 the mixture η_m was determined with the method of Wilke [25]

$$\eta_m = \sum_{i=1}^n \frac{y_i \eta_i}{\sum_{j=1}^n y_j \Phi_{ij}}, \quad (1)$$

109 with mole fraction y_i , dynamic viscosity η_i of the pure component i , the
 110 binary interaction parameter

$$\Phi_{ij} = \frac{(1 + (\eta_i/\eta_j)^{1/2}(M_j/M_i)^{1/4})^2}{8(1 + M_i/M_j)^{1/2}}, \quad (2)$$

111 and the molar masses M_i and M_j of the pure components i and j , respectively.
 112 The thermal conductivity λ_m was calculated following Mason and Saxena [26]

$$\lambda_m = \sum_{i=1}^n \frac{y_i \lambda_i}{\sum_{j=1}^n y_j A_{ij}}, \quad (3)$$

113 where λ_i is the thermal conductivity of the pure component i and the binary
 114 interaction parameter A_{ij} is analogous to Φ_{ij} in Eq. (2), substituting λ_i for η_i .
 115 The dynamic viscosity and thermal conductivity data of the pure components
 116 were obtained from highly accurate equations of state [27, 28, 29, 30, 31].
 117 To ensure a safe plant operation of the CHP, its supplier specified that the
 118 pressure loss in the entire exhaust gas line must not exceed 25 mbar. Con-
 119 sidering a catalytic converter and a muffler that also cause pressure loss, the
 120 maximum permissible pressure loss on the exhaust side of the evaporator was
 121 limited to 15 mbar in consultation with the CHP supplier. Furthermore, the
 122 outlet temperature of the exhaust gas should not be below 120°C to avoid
 123 water condensation.

124 2.2. Organic Rankine cycle

125 Fig. 1 shows the schematic structure of the planned ORC plant with
 126 toluene as a working fluid and an expected output power of 40 kWe. Beside
 127 the direct evaporator that was investigated in the present work, the other
 128 key components were a turbine, a recuperator, a condenser, which discharges
 129 the heat to a water-glycol mixture, and a feed pump. Given by a preliminary
 130 design of the ORC, toluene entered the heat exchanger with a temperature

Table 1: Different load conditions of the present CHP.

Load	100	75	50	%
Electrical power output	800	600	400	kW $\pm 8\%$
Jacket water heat load	421	335	258	kW $\pm 8\%$
Exhaust gas heat load	419	343	257	kW $\pm 8\%$
Exhaust gas temperature	468	492	519	$^{\circ}\text{C}$
Mass flow of exhaust gas	1.1808	0.8956	0.6192	kg/s
Fuel consumption	1916	1479	1047	kW $+5\%$
Electrical efficiency	41.8	40.6	38.2	%
Total efficiency	63.7	63.2	62.8	%

of 155.5°C and a pressure of 17.5 bar to be heated, evaporated and with
 a degree of 3 K, slightly superheated up to a temperature of 255°C , while
 the pressure loss should be small in order to obtain a high efficiency. The
 working fluid mass flow rate at the nominal design point was 0.56 kg/s,
 which leads to a necessary heat input of 263 kW. In addition to this basic
 information, the proposed working fluid had to be considered in terms of
 safety and environmental issues. Toluene, whose basic properties are listed
 in Tab. 2, is a hydrocarbon that is hazardous to health and aquatic life. It has
 an autoignition temperature of 535°C [32], which is higher than the maximum
 temperature of the exhaust gas. Andersen et al. [33] studied the thermal
 stability of toluene at a temperature of 315°C , obtaining a decomposition rate
 of 3.3 years for the loss of 50% of the pure fluid. Beneficial characteristics
 of toluene are its zero ozone depletion potential (ODP) and global warming
 potential (GWP) [34]. Based on these considerations, the use of a direct
 evaporator that is in compliance with safety regulations was assessed to be
 feasible for the planned ORC plant.

2.3. Design method for the heat exchanger

The evaporator was designed for the nominal capacity of the planned
 ORC plant and thus for a maximum heat transfer of 263 kW. The input
 parameters for the working fluid side were known from the analysis of the
 ORC. On the shell side, a part of the cooled exhaust gas was took off after the
 evaporator and fed back into the hot stream, reducing the inlet temperature
 and minimizing the risk of thermal decomposition of toluene at hot spots.
 Furthermore, the mass flow rate was increased by this measure, leading to
 a better heat transfer. For a typical CHP power output of 600 kWe, an

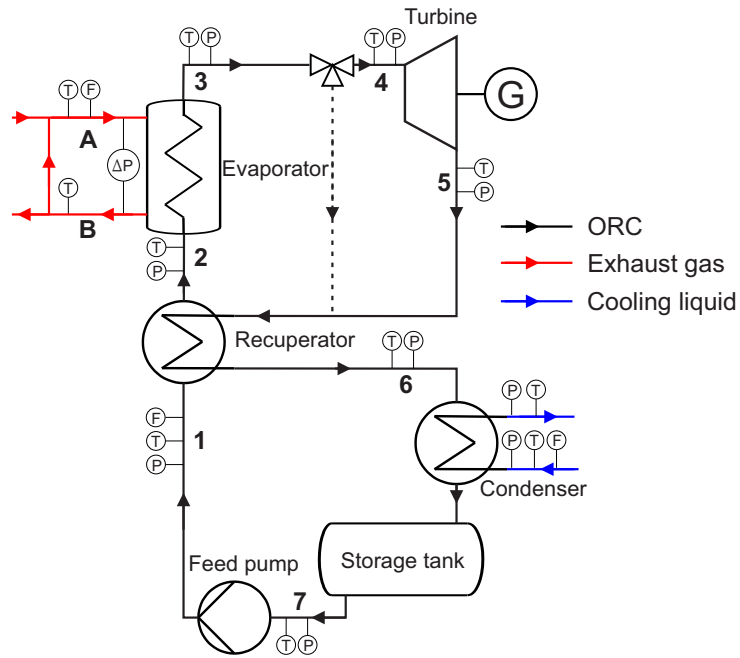


Figure 1: Process flow diagram of the planned ORC plant.

Table 2: Properties of toluene.

Chemical formula	C_7H_8
CAS number	108-88-3
Molecular weight	92.138 g/mol
Critical temperature	318.60°C
Critical pressure	41.263 bar
Autoignition temperature	535°C
ODP	0
GWP	0

156 exhaust gas inlet temperature of 378°C and an outlet temperature of 192°C
 157 leads to a mass flow rate of 1.32 kg/s at a pressure of 1.03 bar. The heat
 158 flow from the exhaust was assumed to be 277 kW to compensate for heat
 159 loss to the environment of 5%. From these input parameters, a temperature
 160 profile emerges as shown in Fig. 2, where it becomes apparent that the
 161 heat exchanger can be divided into three sections, namely the preheating of
 162 liquid toluene, its evaporation and superheating. Further, the pinch point
 163 temperature difference (PPTD) with a value of 32 K occurred at the beginning
 164 of evaporation.

165 A schematic of the heat exchanger is depicted in Fig. 3. It consists of an
 166 inner and an outer shell with an annulus in between, providing space for
 167 the exhaust gas flow and the tube bundle. The latter was made of multiple
 168 coils with a staggered layout. The arrangement of multiple coils, in which the
 169 flow of the working fluid distributes, was a particular challenge in subsequent
 170 calculations. Due to the smallest diameter of the inner coil, its total length
 171 and thus the flow resistance inside the tube were the lowest. Consequently,
 172 the working fluid mass flow would decrease from the inner to the outer coils,
 173 while the heat transfer area increases, resulting in an incomplete evaporation
 174 in the inner coils and a high degree of superheating in the outer coils. To
 175 prevent this and to obtain the same temperature and vapor quality at the
 176 exit of each coil, the flow rates had to be adjusted with valves in front of the
 177 coils.

178 The design process was conducted with the logarithmic mean temperature
 179 difference (LMTD) method, which describes the heat transfer \dot{Q}_{LMTD} from
 180 the exhaust to the working fluid with

$$\dot{Q}_{\text{LMTD}} = k A_{\text{HT}} \Delta T_{\text{ln}} = k A_{\text{HT}} \frac{\Delta T_1 - \Delta T_2}{\ln(\Delta T_1 / \Delta T_2)}, \quad (4)$$

181 where k is the overall heat transfer coefficient, A_{HT} the heat transfer area
 182 and ΔT_{ln} the logarithmic mean temperature difference with the temperature
 183 differences ΔT_1 and ΔT_2 between the fluids at the heat exchanger inlet and
 184 outlet, respectively.

185 The overall heat transfer coefficient for the tubes was determined following
 186 Baehr and Stephan [35]

$$k = \left(\left(\frac{1}{\alpha_i r_i} + \ln \left(\frac{r_o}{r_i} \right) \frac{1}{\lambda_s} + \frac{1}{\alpha_o r_o} \right) \frac{r_o + r_i}{2} \right)^{-1}, \quad (5)$$

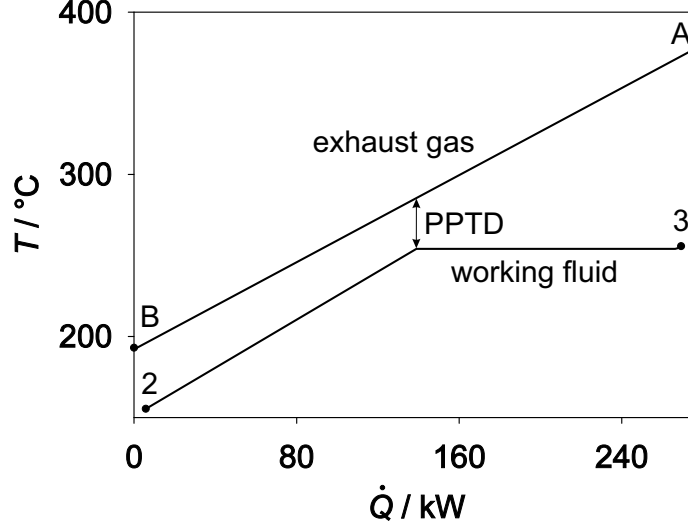


Figure 2: Heat transfer in the direct evaporator, following the state point numbering introduced in Fig. 1. The working fluid toluene was heated, evaporated and superheated with an overall load of 263 kW.

187 where α_i and α_o are the heat transfer coefficients inside and outside the
 188 tube, respectively, r_i the inner and r_o the outer tube radii and λ_S the ther-
 189 mal conductivity of the wall material that was assumed to be 17 W/(mK)
 190 for the employed stainless steel (EN 1.4571) [36]. For comparable appliances,
 191 e.g. shell and U-tube heat exchangers, it is known from the literature [37]
 192 that the heat transfer coefficient at the outside of a tube bundle, which is in
 193 contact with a gas flow, is low compared to values inside the tube so that
 194 α_o represents the main heat resistance dominating the overall heat transfer
 195 coefficient. A preliminary estimation of α_o in a range between 100 and 200
 196 W/(m²K) and α_i with values above 1000 W/(m²K) confirmed this finding.
 197 This implies that the heat exchanger design should aim at a high shell side
 198 heat transfer coefficient to increase the value of k and consequently allow for
 199 a small heat transfer surface A_{HT} at given \dot{Q} and ΔT_{in} , cf. Eq. (4). A small
 200 heat transfer area correlates with a low demand for steel material, reducing
 201 costs for the heat exchanger.

202

203 For the estimation of α_o , an approach of Gnielinski [38] was used in the
 204 present work, where

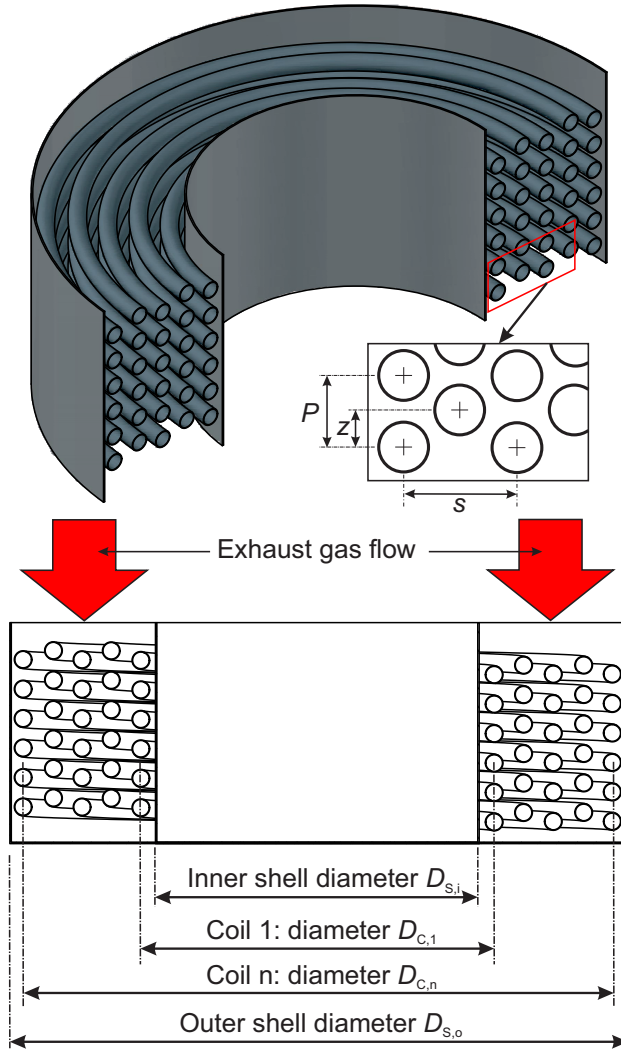


Figure 3: Schematic cutaway drawing of a shell and multi-coil helical heat exchanger.

$$\alpha_o = \frac{\text{Nu}_{\text{bundle}} \lambda_m}{l}, \quad (6)$$

205 with the characteristic length

$$l = \frac{\pi}{2} d_o, \quad (7)$$

206 where d_o is the outside tube diameter. For the estimation of the tube bundle
207 Nusselt number $\text{Nu}_{\text{bundle}}$, Gnielinski [38] states

$$\text{Nu}_{\text{bundle}} = f_A \text{Nu}_{1,0}, \quad (8)$$

208 where the configuration factor f_A for staggered tubes is

$$f_A = 1 + \frac{2}{3b}, \quad (9)$$

209 with the pitch ratio $b = z/d_o$, while the geometry parameter z is defined in
210 Fig. 3. Furthermore, the Nusselt number for a single row of tubes is

$$\text{Nu}_{1,0} = 0.3 + \sqrt{\text{Nu}_{1,\text{lam}}^2 + \text{Nu}_{1,\text{turb}}^2}, \quad (10)$$

211 with a laminar contribution

$$\text{Nu}_{1,\text{lam}} = 0.664 \sqrt{\text{Re}_{\Psi,1}} \sqrt[3]{\text{Pr}}, \quad (11)$$

212 and a turbulent contribution

$$\text{Nu}_{1,\text{turb}} = \frac{0.037 \text{Re}_{\Psi,1}^{0.8} \text{Pr}}{1 + 2.443 \text{Re}_{\Psi,1}^{-0.1} (\text{Pr}^{2/3} - 1)}. \quad (12)$$

213 The Reynolds number $\text{Re}_{\Psi,1}$ in the range $10 < \text{Re}_{\Psi,1} < 10^6$ is

$$\text{Re}_{\Psi,1} = \frac{w l \rho_m}{\Psi \eta_m}, \quad (13)$$

214 where w is the flow velocity in the free shell annulus, ρ_m the density of the
215 gas mixture and Ψ the void fraction in the shell that is determined with

$$\Psi = 1 - \frac{\pi}{4 a} \text{ for } b \geq 1, \quad \text{and} \quad (14)$$

$$\Psi = 1 - \frac{\pi}{4 a b} \text{ for } b < 1, \quad (15)$$

216 with the horizontal split ratio $a = s/d_o$, while the parameter s is defined in
217 Fig. 3.

218 From these relationships, it becomes apparent which parameters have an
219 influence and how they have to be modified in order to increase the heat
220 transfer coefficient on the shell side of the heat exchanger. The main fac-
221 tor of influence is the gas velocity that is taken into account by the velocity
222 within the free shell annulus w , and its increase entails a better heat transfer.
223 Reducing the area of the shell annulus by varying the inner and outer shell
224 diameters leads to higher values of w . Indeed, the tube bundle is located
225 within the free shell annulus, leading to a reduced flow section and conse-
226 quently to a higher velocity, which is considered by the shell void fraction
227 Ψ . The closer the tubes are arranged to each other, the smaller the value
228 of Ψ , which in turn leads to an increasing heat transfer coefficient. Another
229 variable is the tube dimension due to the characteristic length l , cf. Eqs.
230 (6) and (13), defined by the outer tube diameter that also characterizes the
231 entire arrangement of the tube bundle.

232 However, some requirements limited the maximum value of the outer
233 heat transfer coefficient. The main restriction was the maximum permissible
234 pressure drop of the exhaust gas that was 15 mbar for the entire evaporator.
235 Considering a feed and exit passage, the pressure drop caused by the tube
236 bundle should not exceed 10 mbar. Since the pressure drop correlates with
237 gas velocity, the free shell annulus and the space between the tubes in the
238 bundle may not be reduced arbitrarily. Moreover, an increasing tube bundle
239 length leads to an increasing pressure drop. For practical reasons at the
240 test site, the maximum diameter of the apparatus, including its insulation,
241 was 1.2 m, leading to a maximum diameter of 0.8 m of the outer shell,
242 while the height of the tube bundle was limited to 2.5 m. In terms of the
243 manufacturing process, the minimum diameter of the inner shell was set to
244 0.35 m, the spacing between each coil was at least 2 mm and only standard
245 tube dimensions were considered in the design process. The basic input
246 parameters are summarized in Tab. 3.

247 The LMTD design approach is based on averaged thermodynamic prop-
248 erties so that the heat exchanger was discretized into segments, in which the
249 variation of properties was small enough to assume that it occurs stepwise. In
250 the present work, the tube bundle was discretized in segments with a height
251 of 0.15 m for the preheater, where the heat flux was low, and 0.05 m for the
252 evaporator and superheater section, respectively, where higher heat fluxes
253 occurred. For the estimation of an appropriate tube arrangement and the

Table 3: Basic input parameters for the tube bundle design process.

Parameters			Unit
Minimum inner shell diameter $D_{S,i}$	0.35		m
Maximum outer shell diameter $D_{S,o}$	0.8		m
Maximum height of tube bundle	2.5		m
Minimum spacing between each coil	0.002		m
Maximum exhaust pressure drop	10		mbar
Thermal conductivity of tube material	17		W/(mK)
Fluid	Toluene	Exhaust gas	
Mass flow	0.56	1.32	kg/s
Pressure	17.5	1.03	bar
Inlet temperature	155.5	378	°C
Outlet temperature	255	192	°C

254 shell side design, the heat transfer coefficient on the tube inside was initially
 255 assumed to be constant with $\alpha_i = 1000 \text{ W/(m}^2\text{K)}$. The calculation process,
 256 which is illustrated by a schematic flow chart in Fig. 4, was initiated with the
 257 first segment of the preheater, where the inlet temperature of the working
 258 fluid and the outlet temperature of the exhaust gas were known. For a first
 259 iteration, the exhaust inlet temperature was assumed so that the heat flow
 260 \dot{Q}_{EB} was obtained by an energy balance. Based on these data, the outlet
 261 temperature of the working fluid was estimated, leading to ΔT_{ln} . The heat
 262 transfer area in the segment was calculated for the specified tube bundle and
 263 subsequently, the heat transfer coefficient on the shell side was calculated as
 264 described by Eqs. (6) to (15), yielding the overall heat transfer coefficient k
 265 and thus the transferred heat flow \dot{Q}_{LMTD} . Subsequently, the exhaust inlet
 266 temperature was adjusted until the difference between the heat flow from
 267 the energy balance and heat transfer calculation was minimal and converged
 268 below a threshold value. The obtained fluid states were transferred as inputs
 269 for the subsequent segment. When the working fluid reached a saturated
 270 liquid state, the height of that specific segment was adjusted until the out-
 271 let enthalpy value converged to the saturated liquid enthalpy. Subsequently,
 272 this procedure was continued for the evaporator and the superheater until
 273 the working fluid temperature attained the required value. Summarizing the
 274 segment heights, the total height of the tube bundle and the total tube length
 275 was estimated.

276 Finally, the pressure drop of the exhaust gas in each segment was deter-

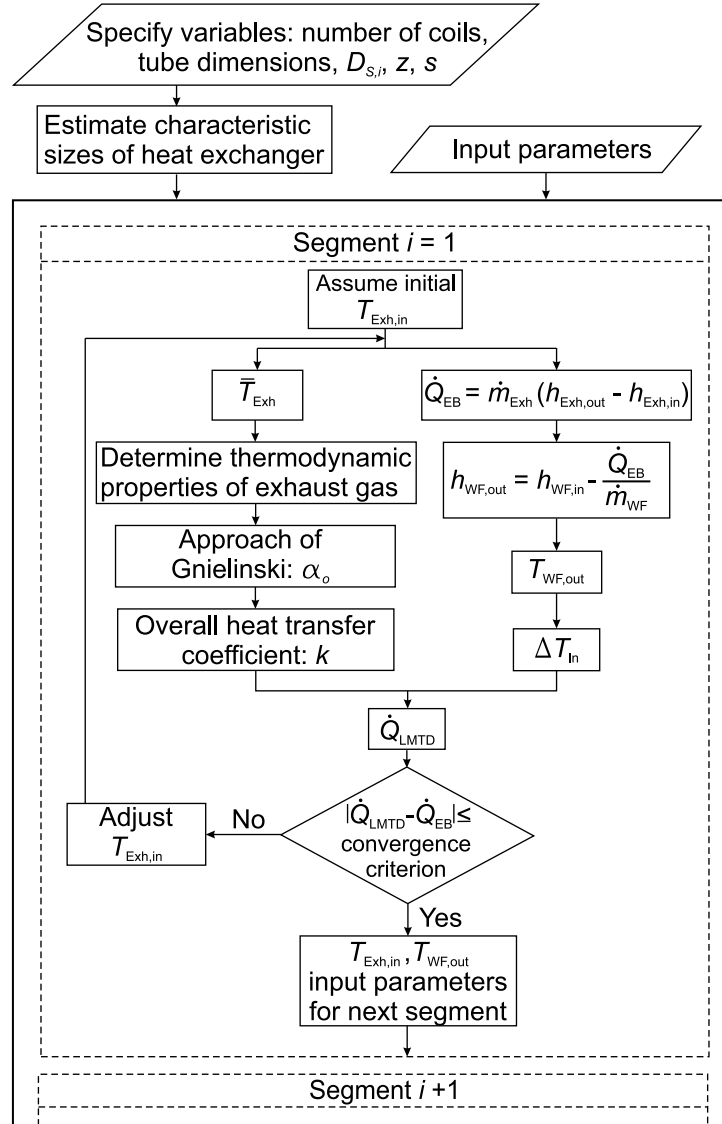


Figure 4: Schematic flow chart of the heat transfer calculation with the LMTD method to determine a favorable shell and tube bundle design.

mined following an approach of Gaddis and Gnielinski [39]

$$\Delta p_{\text{Exh}} = \xi n_W \frac{\rho_m w_n^2}{2}, \quad (16)$$

where ξ is the pressure loss coefficient, n_W the number of windings in a segment and w_n the gas velocity in the narrowest flow section. The detailed calculation of these parameters is described in the Appendix. By summarizing the pressure losses in all segments, the total pressure loss in the tube bundle was obtained.

Calculations were conducted for tube bundles with a number of 4 to 10 coils and tubes with a nominal size of DN 10, DN 15 and DN 20. Fig. 5 presents the results for the averaged outside heat transfer coefficient, the height of the tube bundle to transfer the required heat and the associated exhaust gas pressure loss. The values of $\bar{\alpha}_o$ decrease with an increasing number of coils and with an increasing tube diameter. This is a consequence of lower gas velocities in bundles with a higher number of coils and larger tube diameter. The resulting height of the tube bundle decreases with an increasing number of coils due to the heat transfer area enlargement. However, the low outside heat transfer coefficient for larger tube diameter correlates with higher tube bundles. The maximum tube bundle height of 2.5 m is marked in Fig. 5 and illustrates that the minimum number of coils to comply with this limit was 7, 8 and 10 coils for the nominal tube sizes DN 10, DN 15 and DN 20, respectively. The results for the exhaust gas pressure drop within the tube bundle show that a low number of coils and small tube diameter lead to a high pressure loss. Considering the upper limit of 10 mbar, it became apparent that only configurations with a nominal tube size of DN 10 and 9 or 10 coils, as well as a tube size of DN 15 with 8 coils were possible, while the combinations of DN 15 with 9 and 10 coils and also the DN 20 with 10 coils exceeded the maximum outside shell diameter of 0.8 m. Furthermore, it was observed that the tube arrangement should be as close as possible, accomplished by small values of z and s , and that the diameter of the inner shell should be at its minimum limit, leading to beneficial heat transfer results.

Based on these findings, a precise design calculation was carried out for the tube bundle arrangements, by also taking into account correlations for the heat transfer coefficient within the tubes and by determining the pressure drop of the working fluid. For the toluene single phase flow, i.e. the liquid state and the superheated vapor, an approach for helically coiled tubes by

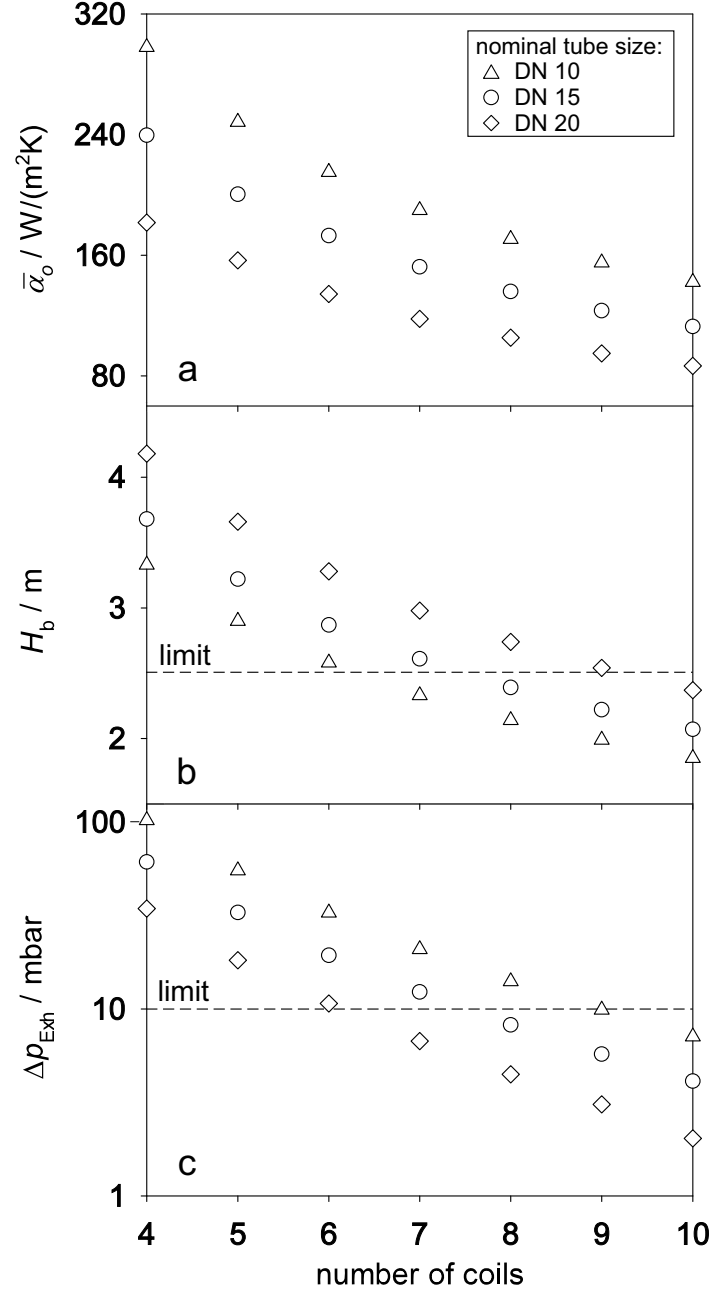


Figure 5: Selected results from the preliminary tube bundle design for different coil numbers and nominal tube sizes: a) averaged shell side heat transfer coefficient $\bar{\alpha}_o$; b) height of tube bundle H_b ; c) exhaust gas pressure loss Δp_{Exh} .

312 Gnielinski [40] was used, where the Nusselt number for turbulent flows with
 313 $\text{Re} > 2.2 \cdot 10^4$ is

$$\text{Nu} = \frac{\zeta/8 \text{ Re Pr}}{1 + 12.7\sqrt{\zeta/8} (\text{Pr}^{2/3} - 1)} \left(\frac{\text{Pr}}{\text{Pr}_W} \right)^{0.14}, \quad (17)$$

314 with the friction factor

$$\zeta = \frac{0.3164}{\text{Re}^{0.25}} + 0.03 \left(\frac{d_i}{D} \right)^{0.5}, \quad (18)$$

315 where D is the diameter of the coil in an inclined plane, considering the coil
 316 diameter D_C and the pitch P , cf. Fig. 3

$$D = D_C \left(1 + \left(\frac{P}{\pi D_C} \right) \right). \quad (19)$$

317 Subsequently, the heat transfer coefficient was calculated with $\alpha_i = \text{Nu}\lambda/d_i$.

318

319 The pressure loss of a fluid at a single phase flow within a coiled tube can be
 320 determined following Gnielinski [40] and Mishra et al. [41]

$$\Delta p_{\text{WF,sp}} = \zeta_{\text{sp}} \frac{l}{d_i} \frac{\rho w_i^2}{2}, \quad (20)$$

321 with the tube length l and the friction factor for turbulent flows

$$\zeta_{\text{sp}} = \frac{0.3164}{\text{Re}^{0.25}} \left(1 + 0.095 \left(\frac{d_i}{D} \right)^{0.5} \text{Re}^{0.25} \right). \quad (21)$$

322

323

324 The two phase vapor-liquid flow in helical coiled tubes is complex and
 325 only few correlations to describe the heat transfer and pressure drop are
 326 available in the literature. Further, Kaya et al. [14] showed that the deviation
 327 between results determined with different correlations is large. Vashisth et
 328 al. [42] reviewed available research on flow phenomena within coiled tubes.
 329 They found that the flow patterns can approximately be described with the
 330 Lockhart-Martinelli parameter, used for the design of heat exchangers with
 331 straight horizontal tubes. Subsequently, the heat transfer coefficient inside
 332 the coils was calculated with an approach for flow boiling in horizontal tubes,
 333 described in the VDI Wärmeatlas [43]

$$\alpha_i = C_F \left(\frac{\dot{q}}{\dot{q}_0} \right)^n F(p^*) F(d) F(W) F(\dot{M}, \dot{x}) \alpha_0, \quad (22)$$

where the parameters C_F , $F(p^*)$, $F(d)$ and $F(W)$ consider the influence of fluid properties, pressure, tube diameter and tube surface, respectively. The heat flux and the normalized heat flux were taken into account by \dot{q} and \dot{q}_0 . Further, the factor $F(\dot{M}, \dot{x})$ characterizes the flow pattern, including the mass flux \dot{M} and the vapor quality \dot{x} , while α_0 is the heat transfer coefficient at normalized conditions, given in the literature. The detailed estimation of these parameters is described in the Appendix. The pressure drop of the working fluid, caused by the two phase flow, was determined following Garcia et al. [44]

$$\Delta p_{WF,tp} = 2\zeta_{tp} \frac{l}{d_i} \rho w_i^2, \quad (23)$$

with the friction factor

$$\zeta_{tp} = A_2 \text{Re}^{B_2} + \frac{A_1 \text{Re}^{B_1} - A_2 \text{Re}^{B_2}}{(1 + (\text{Re}/T)^C)^D}, \quad (24)$$

where the parameters A_1 , A_2 , B_1 , B_2 , C , D and T depend on the particular flow pattern and empirical values were available in the literature. The detailed calculation procedure including the determination of the flow pattern with the Lockhart-Martinelli parameter is described in the Appendix.

The design procedure based on a discretization of the heat exchanger and the LMTD method was extended with the correlations for the inside of the tubes. In a first step, the mean temperature of the working fluid in a segment was determined from the inlet and outlet conditions known from the preliminary design, leading to the averaged thermodynamic properties and to an inside heat transfer coefficient. The latter was used to substitute the preliminary value of $\alpha_i = 1000 \text{ W}/(\text{m}^2\text{K})$. Subsequently, a recalculation of the heat transfer coefficient at the shell side was conducted, which in turn, led to new heat transfer coefficient inside the tube. In this way, an iterative approximation was carried out until the heat transfer coefficients were constant on both sides. Based on the proportion of the heat transfer surface, the mass flow rate of the working fluid in each coil was initially specified. After calculating all segments, the mass flow rates in the coils were adjusted so that an equal outlet temperature was reached. The pressure drop of the

362 working fluid was determined for each segment and led to the total pressure
 363 drop by summarizing. Since the tube coil with the largest diameter leads to
 364 the highest pressure drop due to its largest tube length and mass flow rate,
 365 it was assumed that this value represents the pressure drop of the working
 366 fluid caused by the evaporator. This was permissible because the pressure
 367 within the inner coils was reduced by valves at the entry of the coils.
 368 The resulting design parameters and obtained performances of the possible
 369 tube bundle configurations are summarized in Tab. 4. In general, the heat
 370 transfer that can be assessed by the overall heat transfer coefficient k was
 371 minimal in the preheating section, increased at the evaporator and decreased
 372 at the superheater again. In association with a small logarithmic mean tem-
 373 perature difference ΔT_{ln} during preheating (cf. Fig. 2), the heat flux was
 374 low, which required a large heat transfer area and the major part of the total
 375 tube bundle height for this section of the heat exchanger. Evaporation and
 376 superheating of the working fluid takes place in a comparatively small part
 377 of the tube bundle, caused by increasing values of the overall heat transfer
 378 coefficient and ΔT_{ln} . Furthermore, it was ascertained that the tube bundle
 379 configurations with the smaller nominal tube size of DN 10 and especially
 380 with 9 coils, exhibit higher heat transfer coefficients at the tube inside, which
 381 can be explained by a higher mass flux of the working fluid. However, it was
 382 found that the crucial parameter resulting from the design calculation was
 383 the pressure drop of the working fluid. High flow velocities, which are advan-
 384 tageous for the heat transfer, also lead to high pressure loss, especially for
 385 configurations with the small tube diameter of DN 10 that are 1.10 and 0.92
 386 bar for the tube bundles with 9 and 10 coils, respectively. The configuration
 387 with a nominal tube size of DN 15 and 8 coils yielded a pressure drop of 0.35
 388 bar, which was suitable for the planned ORC plant. Since the other results
 389 obtained for this configuration, particularly the total tube bundle height of
 390 2.29 m and the exhaust gas pressure drop of 9 mbar, were also within the
 391 specified limits, it was decided to realize the direct evaporator with this de-
 392 sign.
 393 Fig. 6 shows the heat transfer coefficients inside and outside the tubes, as
 394 well as the working fluid pressure drop as a function of the height of the se-
 395 lected tube bundle. The heat transfer coefficient on the shell side was highest
 396 at the exhaust gas entry with a value of 149 W/(m²K) and decreased to a
 397 value of 129 W/(m²K) at the exit, caused by the increasing density of the
 398 gas mixture during its cooling and the correlating decline of flow velocity.
 399 The mass flux of the working fluid inside the tubes was in a range of 201 to

395 kg/(m²s) at the inside and outside coil, respectively. Subsequently, the heat transfer coefficient inside the tubes was averaged over the eight coils and was lowest at the entry of the working fluid with a value of 1140 W/(m²K). With rising temperature and the related decrease in density, the flow velocity increased, leading to an increase of $\bar{\alpha}_i$ that had a value of 1464 W/(m²K) at the end of the preheating section. For the evaporation section, the two phase flow led to a rapid rise of the heat transfer coefficient with a value of 3510 W/(m²K) that also increased with further heating due to the increasing heat flux and flow velocity. The initial slug flow pattern subsequently changed into an annular flow pattern and thus to a further increase of $\bar{\alpha}_i$ that had its maximum value of 4546 W/m²K at a vapor quality of 0.55. Further increase of the vapor quality led to a decrease of the heat transfer coefficient inside the tubes. With a single phase flow in the superheating section, the heat transfer coefficient declined again to a value of 1659 W/(m²K). The working fluid pressure loss as a function of the tube bundle height was approximately linear for the preheating section and had a value of 0.15 bar at a height of 1.58 m. With the emergence of evaporation and the associated high velocity of the vapor-liquid flow, the pressure loss grows exponentially so that the major pressure drop of the working fluid was attained within the evaporation zone. Finally, it is worth to mention that 69% of the heat transfer area was needed for the preheating of the working fluid, while only 50% of the total heat flow was transferred in this section of the present heat exchanger.

3. Description of field test

The direct evaporator was manufactured with parameters close to the design calculations. For practical reasons, the spacing between the tube coils was slightly larger and with this the free shell annulus that had a cross section area of 0.3904 m². The outside and inside diameters of the DN 15 tubes were $d_o = 21.3$ mm and $d_i = 17.3$ mm, respectively, while the realized geometric parameters of the tube bundle were $a = 2.347$ and $b = 0.986$, with a total height of 2.5 m instead of 2.29 m, to consider the uncertainties of the heat transfer correlations and to ensure a reliable operation of the planned ORC plant. A technical drawing and a photograph of the heat exchanger at the test site is shown in Fig. 7. The tube bundle was held by three mounting sheets that were manufactured stepwise with assembling the coils one after another from the inside to the outside. However, the tubes were not fixed with the mounting and free spacing was provided in order to avoid tensions

Table 4: Results from the design calculation for different tube bundle configurations.

Parameters	DN 15 8 coils	DN 10 9 coils	DN 10 10 coils	Unit
Preheater				
$\bar{\alpha}_o$	134	152	139	W/(m ² K)
$\bar{\alpha}_i$	1296	1889	1618	W/(m ² K)
\bar{k}	129	153	139	W/(m ² K)
Δp_{WF}	0.145	0.377	0.321	bar
Δp_{Exh}	5.4	6.2	4.6	mbar
Height	1.58	1.24	1.18	m
Evaporator				
$\bar{\alpha}_o$	142	161	148	W/(m ² K)
$\bar{\alpha}_i$	4064	5535	5260	W/(m ² K)
\bar{k}	147	172	159	W/(m ² K)
Δp_{WF}	0.193	0.693	0.576	bar
Δp_{Exh}	2.7	3.2	2.34	mbar
Height	0.69	0.55	0.52	m
Superheater				
$\bar{\alpha}_o$	147	167	153	W/(m ² K)
$\bar{\alpha}_i$	1659	2379	1844	W/(m ² K)
\bar{k}	144	170	154	W/(m ² K)
Δp_{WF}	0.015	0.025	0.022	bar
Δp_{Exh}	0.09	0.07	0.05	mbar
Height	0.02	0.01	0.01	m
Total height	2.29	1.80	1.71	m
Total tube length	747	796	870	m
Total Δp_{WF}	0.353	1.095	0.919	bar
Total Δp_{Exh}	9	9.5	7	mbar

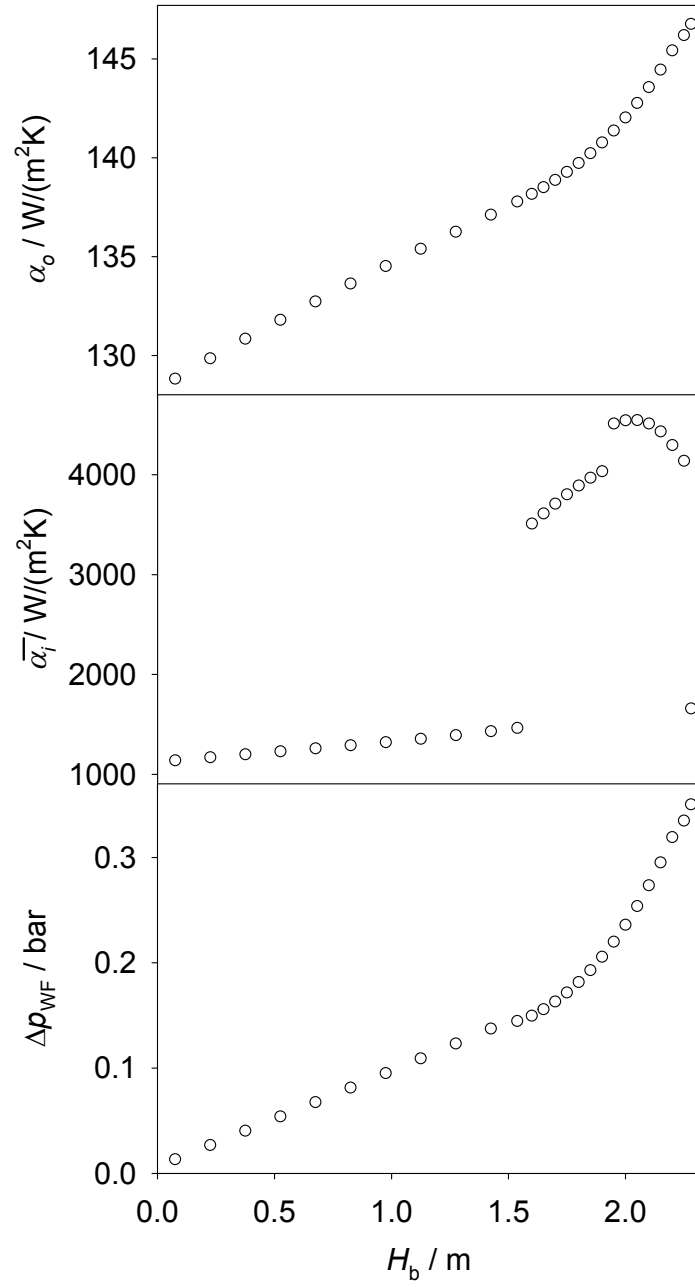


Figure 6: Heat transfer coefficient at the outside and inside of the tubes as well as the working fluid pressure drop as a function of tube bundle height for the selected design.

436 caused by thermal expansion. The heat exchanger and the exhaust gas pipe
437 were insulated with a 0.2 m thick layer of mineral wool and covered by a
438 metal housing. The exhaust gas line of the CHP was adapted with a branch
439 pipe in connection with a gas damper that allowed for a variable control
440 of the exhaust flow provided to the evaporator. Further, the exhaust gas
441 recirculation was driven by a fan that could be adjusted with a frequency
442 inverter. Because of practical reasons only four valves were installed in front
443 of the tube bundle, thus, the working fluid flow rate of two adjacent coils was
444 adjusted by one motorized control valve.

445 The arrangement of the measuring instrumentation is illustrated in Fig.
446 1. All temperatures were measured with platinum resistance thermometers
447 with a basic resistance of 1000 Ω (Pt1000), while pressure measurement of
448 the working fluid cycle was conducted with absolute pressure transmitters
449 (APT) S-20 supplied by WIKA. For the determination of the mass flow
450 rate in the ORC, a differential pressure flow meter according to DIN EN
451 ISO 5167 was used, equipped with a differential pressure transmitter DE
452 70 by Fischer. Further, a pitot static tube anemometer combined with a
453 C 310 multifunctional transmitter by KIMO was used for the measurement
454 of the exhaust gas flow ratio. The difference pressure module of the C 310
455 transmitter was also employed to determine the pressure drop on the shell
456 side of the heat exchanger. The uncertainties of the measuring equipment
457 are given in Tab. 5.

458 The evaluation and tests of the direct evaporator were conducted while
459 the ORC test rig was not entirely completed. Especially the turbine was
460 not operational, thus, the working fluid was carried through a bypass (cf.
461 Fig. 1) and expanded with an orifice plate, before entering the recuperator.
462 To reach the nominal mass flow rate, the orifice plate was designed with a
463 cross-sectional area that was 64% larger than the minimum cross-sectional
464 area of the turbine nozzle, considering the coefficient of contraction [43].
465 For the experiments, first, the cooling cycle was started, followed by the
466 working fluid feed pump, beginning with a low rotational frequency and a
467 slight opening of the exhaust gas damper. Subsequently, the flow rates of
468 toluene and exhaust gas were increased stepwise, while the fan of the exhaust
469 recirculation was adjusted in line to obtain a target state point. The start
470 up of the experimental setup took about 30 min and when a steady state in
471 terms of constant mass flow rates, temperatures and pressures was reached,
472 the measured parameters were used to evaluate the performance of the heat
473 exchanger.

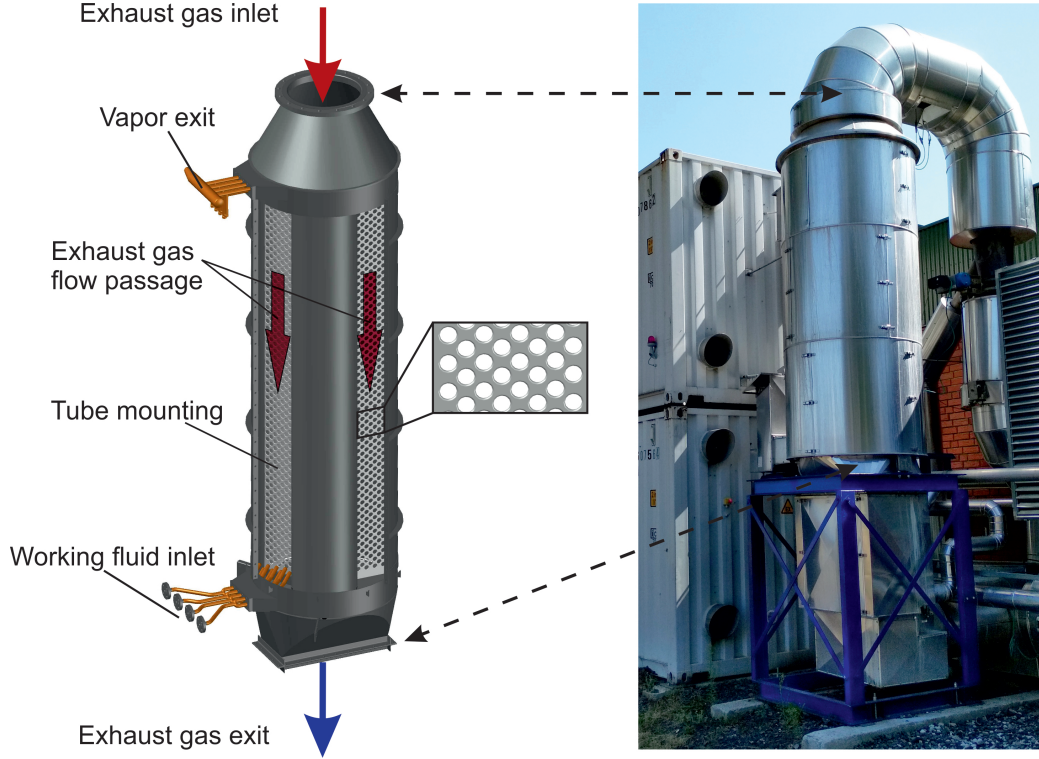


Figure 7: Left: Technical drawing of the direct evaporator, with hidden tube bundle for better clarity. Right: Photograph of the apparatus at the test site.

Table 5: Uncertainties of the measuring equipment.

Variable	Sensor type	Range	Uncertainty
T (exhaust)	Pt1000	0 - 480°C	$\pm 0.10\%$
T (ORC)	Pt1000	0 - 350°C	$\pm 0.10\%$
p (ORC high pressure)	APT	0 - 25 bar	$\leq \pm 0.5\%$
p (ORC low pressure)	APT	0 - 6 bar	$\leq \pm 0.5\%$
\dot{m} (ORC)	difference pressure	0.22 - 0.707 kg/s	$\pm 1.4\%$
\dot{m} (exhaust)	Pitot static tube	0 - 2.2 kg/s	$\pm 1.2\%$
Δp (exhaust)	difference pressure	0 - 1000 Pa	$\pm 0.2\%$

474 4. Results and discussion

475 4.1. Heat transfer performance

476 The heat transfer performance of the present direct evaporator was in-
477 vestigated under different operating conditions. The working fluid mass flow
478 rate was varied between 0.45 and 0.54 kg/s, the inlet temperature and pres-
479 sure was between 175 and 205.5°C and 14.1 and 19.7 bar, respectively, while
480 the degree of superheating ranged between 6.2 and 30.7 K. The mass flow
481 rate of the exhaust gas was between 1.15 and 1.3 kg/s, with inlet temper-
482 atures varying from 348 to 394°C. The maximum heat flow transferred to
483 the toluene was 225 kW. To evaluate the experimental data, the overall heat
484 transfer coefficient k (cf. Eq. (4)) was recalculated with the known heat
485 transfer area and heat flow, while the logarithmic mean temperature differ-
486 ence was determined for the preheater, evaporator and superheater sections,
487 respectively. This was conducted with the knowledge of the working fluid
488 saturation temperature that led to the pinch point temperature difference.
489 Subsequently, the overall heat transfer coefficient from experiment was com-
490 pared with the correlations presented in section 2.3.

491 The results are shown in Fig. 8 and it can be stated that the experimen-
492 tal values of k , being in a range between 100.1 and 118.1 W/(m²K), are in
493 good agreement with those from the correlations with a maximum relative
494 deviation of 5.2% and an averaged deviation of 2%. Moreover, it can be seen
495 that the overall heat transfer coefficient recalculated from the experimental
496 data tends to be lower than that from the correlations. In a further step,
497 the Nusselt number on the shell side was recalculated from the experimental
498 values of k to examine the convective heat transfer between the exhaust gas
499 and the tube bundle that represents the main heat transfer resistance. For
500 this purpose, the heat transfer coefficient inside the tubes was obtained from
501 the correlations, which is sufficiently accurate because of the small influence
502 of α_i on k . The resulting tube bundle Nusselt numbers from present experi-
503 ments and from the Gnielinski correlation are shown in Fig. 9 as a function
504 of the Reynolds number. Because of the connection between the overall heat
505 transfer coefficient and the Nusselt number, the relative deviation between
506 the experimental results and those from the correlation is similar and in a
507 range of up to 5.5%, with an averaged value of 2.2%. However, it can be seen
508 that the experimental results tend to be higher than the predicted data at
509 small Reynolds numbers and lower at higher Reynolds numbers. The slope of
510 the Nusselt number correlation as a function of the Reynolds number seems

511 to be slightly too high for the present heat exchanger.
 512 In general, it turned out that the employed heat transfer correlations are
 513 suitable for the design of a direct evaporator with multiple helical coils. The
 514 overall heat transfer coefficient, recalculated from the measurements, was
 515 lower than that obtained in the original design calculation in section 2.3,
 516 which can be explained by the slightly different geometry parameters of the
 517 manufactured tube bundle. However, the target heat flow transferred to
 518 the working fluid of 263 kW was not reached yet, since it was not possible to
 519 achieve the nominal operating condition without a turbine. Once the turbine
 520 will be in operation, higher working fluid mass flow rates should be possible
 521 and consequently the exhaust gas mass flow rate can be increased as well.
 522 Then, the toluene will enter the evaporator at a lower temperature because
 523 of a decreasing heat flow in the recuperator. Based on these aspects and
 524 by considering the observations from the present work, it can be expected
 525 that the desired heat flow will be reached because of increasing heat transfer
 526 coefficients and a larger logarithmic temperature difference between exhaust
 527 gas and toluene.
 528 Another focus was on the working fluid temperature at the exit of each coil,
 529 where the variation was supposed to be small. For this reason, the mass flow
 530 rate within two adjacent coils was adjusted by a valve in front of these coils,
 531 respectively. It was observed that this setup is operational, but a notable
 532 temperature difference occurs for a pair of coils, where the inner coil is only
 533 slightly superheated, while the outer coil with the larger heat transfer area,
 534 was superheated in a range between 25 to 30 K. The required vapor tem-
 535 perature was then reached after mixing of the individual vapor flows. It has
 536 to be noted that a high degree of superheating in the tube coils will cause
 537 a reduction in the working fluid lifetime. Thus, an adjustable valve in front
 538 of each coil is suggested, while it can be stated that a heat exchanger with
 539 multiple helical coils and without any regulation of the mass flow inside the
 540 tubes, as discussed by Wang et al. [22], cannot be operational in terms of
 541 an appropriate vapor quality. In addition, the heat loss of the present heat
 542 exchanger was determined to be in a range of 2.5 to 14.2% of the exhaust gas
 543 heat flow, with an averaged value of 7.1%. Thus, the heat loss is higher than
 544 expected, which could be a consequence of a humid mineral wool insulation,
 545 unfortunately caused by rain that leaked through the metal housing.

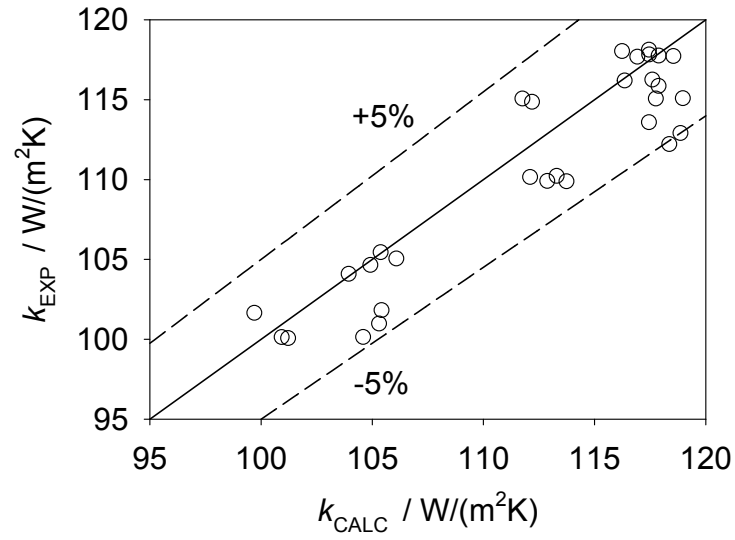


Figure 8: Overall heat transfer coefficient from experiment compared with predicted values.

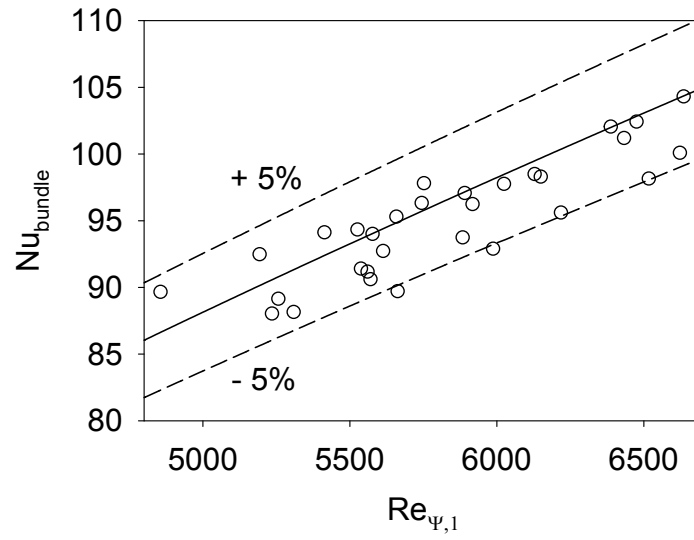


Figure 9: Shell side Nusselt number as a function of Reynolds number from experiment (\circ) compared with predicted values (—).

546 4.2. Pressure loss

547 To ensure an unrestricted operation of the CHP, the exhaust gas pressure
548 loss of the direct evaporator was measured for different mass flow rates in a
549 range from 0.56 to 1.36 kg/s and compared with the values predicted with
550 the approach of Gaddis and Gnielinski, cf. section 2.3. The correlation was
551 used to calculate the pressure loss depending on the characteristic exhaust
552 flow velocity and for three temperatures of 130, 255 and 380°C. The aver-
553 aged exhaust temperatures during the experiments were within this range
554 and the results are shown in Fig. 10, with a measured pressure loss from 141
555 to 900 Pa and a flow velocity in a range between 3.5 and 10.9 m/s. These
556 values agree well with the correlation, but tend to be slightly higher, the
557 relative deviation is between 1.8 and 6.9%. It can be seen that the pressure
558 drop increases quadratically with increasing flow velocity and increases with
559 decreasing temperature. Additionally, the shell side pressure loss coefficient
560 was recalculated from the experimental data, which is particularly suitable
561 to validate the employed correlation for the design of the present apparatus
562 because the dominating variable is the Reynolds number, while the tem-
563 perature influence is small. The experimental pressure loss coefficient for
564 Reynolds numbers in a range of 2186 to 6431 is compared with the correla-
565 tion values at temperatures of 130, 255 and 380°C in Fig. 11. It turns out
566 that the pressure loss coefficient, recalculated from experiments, is higher
567 than the predicted value and that the maximum deviation of 6.9% occurs at
568 the lowest Reynolds number, while there is better agreement with increasing
569 Reynolds number. Considering the fact that the experiments were carried
570 out with an exhaust gas flow in the laminar-turbulent transition zone (100
571 $< Re < 10^4$), the correlation seems to underestimate the influence of the
572 laminar flow for the tube bundle design of the present work. However, the
573 prediction of the shell side pressure loss was satisfactory, especially near the
574 nominal operating condition and it can be stated that the required limit of
575 10 mbar was not exceeded.

576 For the working fluid toluene, pressure drop values between 0.5 and 1.1
577 bar were measured, which was higher than expected. The interpretation of
578 these results to validate the employed tube side pressure loss correlations is
579 difficult because the pressure transducers were not located directly in front
580 and behind the exit of the tube bundle, respectively, but after the recuperator
581 and in front of the turbine. Consequently, the pressure loss caused by the
582 piping and the adjustable valves in front of the tube bundle was included in
583 the measurements. Especially mixing and redirection of the vapor flow after

the tube bundle could have caused a significant pressure loss. Furthermore, the difference in the degree of superheating at the exit of two adjacent coils, which was not considered during the design process, did not allow for an accurate comparison between the predicted values from the correlation and the experimental results. For the present ORC test rig, the feed pump allowed to compensate the working fluid pressure loss by increasing the rotational frequency. By this measure, it was possible to reach the target state point after the heat exchanger, which is of key importance to operate the turbine at its maximum efficiency.

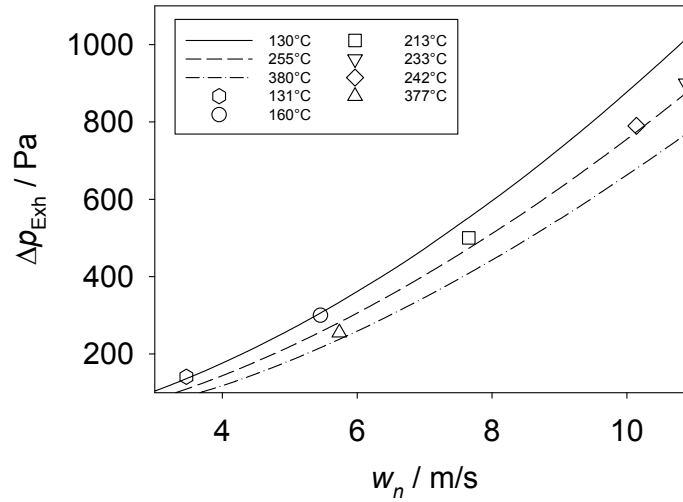


Figure 10: Exhaust pressure drop as a function of the characteristic flow velocity from experiment (symbols) and correlation (lines) for different temperatures.

5. Conclusion

A direct evaporator of shell and multi helical coils type for a high temperature ORC plant to exploit exhaust waste heat was designed and tested. The requirements and boundary limits for the heat exchanger, the employed heat transfer and pressure loss correlations from the literature, the influence of the different parameters and the procedure to find an optimal design were presented. It was found that the main heat resistance is on the shell side,

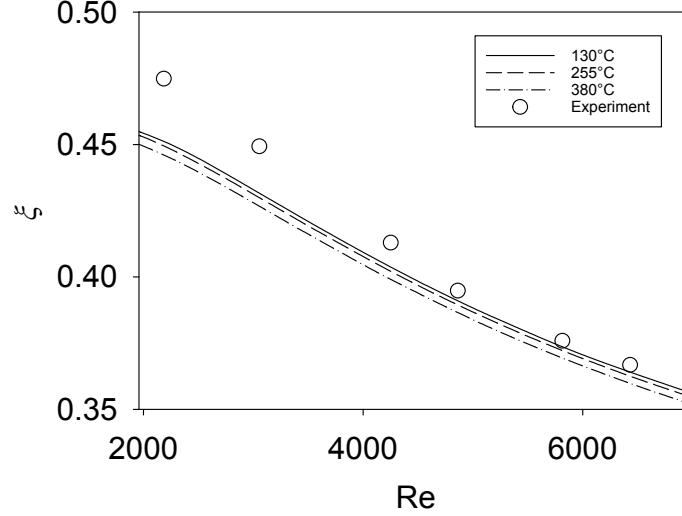


Figure 11: Shell side pressure loss coefficient as a function of Reynolds number from experiment (\circ) and correlation (lines).

600 flown through by the exhaust gas and that its maximum permissible pres-
 601 sure loss is the limiting factor for the heat transfer coefficient. Subsequently,
 602 the direct evaporator with a tube bundle consisting of eight coils was man-
 603 ufactured and connected to an ORC plant that used toluene as a working
 604 fluid. Tests under various operating conditions were carried out, allowing
 605 for the determination of the overall heat transfer coefficient and shell side
 606 Nusselt number that were compared with the results from correlations. The
 607 experimental data were in good agreement with a deviation less than 5.5%,
 608 but tended to be slightly lower than the predicted values. Measurements of
 609 the shell side pressure drop were in good agreement, but slightly higher than
 610 the predicted data. It was found that the employed pressure loss correlation
 611 underestimates the influence of the laminar flow at low Reynolds numbers
 612 for the tube bundle design of the present work. Furthermore, it was essential
 613 to regulate the mass flow rate of the working fluid in front of each coil with
 614 adjustable valves to achieve a regular vapor quality. It can be concluded
 615 that the employed correlations and the optimization method are suitable for
 616 the design of a shell and helical coils evaporator. The test apparatus had a
 617 reliable operational behavior at a maximum transferred heat flow of 225 kW
 618 and was particularly qualified for the test site due to its compactness.

619 6. Acknowledgements

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 624 changer.

625 Appendix A. Parameters of the employed correlations

626 *Appendix A.1. Shell side pressure loss*

627 The parameters for the pressure loss calculation with an approach of
 628 Gaddis and Gnielinski are presented, starting with the flow velocity in the
 629 narrowest flow passage that is

$$w_n = \frac{a}{a-1} w, \quad \text{for } b \geq 0.5\sqrt{2a+1}, \quad (\text{A.1})$$

630 and

$$w_n = \frac{a}{2(\sqrt{0.25 a^2 + b^2} - 1)} w, \quad \text{for } b < 0.5\sqrt{2a+1}, \quad (\text{A.2})$$

631 while a , b and w are defined in section 2.3. The pressure loss coefficient ξ is

$$\xi = \xi_l f_{z,l} + \xi_t f_{z,t} F_v, \quad (\text{A.3})$$

632 and is composed of a laminar part with

$$\xi_l = \frac{280\pi((b^{0.5} - 0.6)^2 + 0.75)}{(4ab - \pi)a^{1.6}} \text{Re}_n^{-1}, \quad \text{for } b \geq 0.5\sqrt{2a+1}, \quad (\text{A.4})$$

$$\xi_l = \frac{280\pi((b^{0.5} - 0.6)^2 + 0.75)}{(4ab - \pi)(0.25 a^2 + b^2)^{0.8}} \text{Re}_n^{-1}, \quad \text{for } b < 0.5\sqrt{2a+1}, \quad (\text{A.5})$$

633 and a turbulent part with

$$\xi_t = 2.5 + \left(\frac{1.2}{(a - 0.85)^{1.08}} \right) + 0.4 \left(\frac{b}{a} - 1 \right)^3 - 0.01 \left(\frac{a}{b} - 1 \right)^3 \text{Re}_n^{-0.25}, \quad (\text{A.6})$$

634 where the factor F_v is

$$F_v = 1 - \exp\left(-\frac{\text{Re}_n + 200}{1000}\right). \quad (\text{A.7})$$

635 The Reynolds number in the narrowest flow section Re_n is

$$\text{Re}_n = \frac{w_n d_o \rho_m}{\eta_m}, \quad (\text{A.8})$$

636 with the dynamic viscosity of the gas mixture at the core flow temperature
637 η_m (cf. Eq. (1)). Further, $f_{z,l}$ and $f_{z,t}$ are correction factors to consider the
638 divergent fluid properties in the temperature boundary layer with

$$f_{z,l} = \left(\frac{\eta_W}{\eta_m}\right)^{0.57} \left(\left(\frac{4ab}{\pi} - 1\right) \text{Re}_n\right)^{-0.25}, \quad (\text{A.9})$$

639 and

$$f_{z,t} = \left(\frac{\eta_W}{\eta_m}\right)^{0.14}, \quad (\text{A.10})$$

640 where η_W is the dynamic viscosity of the fluid at the tube surface tempera-
641 ture.

642 *Appendix A.2. Tube side heat transfer for vapor-liquid flow*

643 An approach from the VDI Wärmeatlas was used to obtain the heat
644 transfer coefficient for the two phase flow inside the tubes. The influence of
645 pressure is considered by

$$F(p^*) = 2.692 p^{*0.43} + \frac{1.6 p^{*6.5}}{1 - p^{*4.4}}, \quad (\text{A.11})$$

646 where the reduced pressure is $p^* = p_s/p_c$. Further, the tube dimension factor
647 is

$$F(d) = (0.01 \text{ m}/d_i)^{0.5}, \quad (\text{A.12})$$

648 and the wall surface influence is

$$F(W) = (R_a/R_{a0})^{0.133}, \quad (\text{A.13})$$

649 with the arithmetic average roughness R_a and the normalized value R_{a0} that
 650 was $1 \mu\text{m}$. The flow pattern factor in dependence of the mass flux \dot{M} and
 651 the vapor quality \dot{x} was considered by

$$F(\dot{M}, \dot{x}) = \left(\frac{\dot{M}}{\dot{M}_0} \right)^{0.25} \left(1 - p^{*0.1} \left(\frac{\dot{q}}{\dot{q}_{\text{cr,PB}}} \right)^{0.3} \dot{x} \right), \quad (\text{A.14})$$

652 where \dot{M}_0 is the normalized mass flux with a value of $100 \text{ kg}/(\text{m}^2\text{s})$ and $\dot{q}_{\text{cr,PB}}$
 653 is a reference heat flux

$$\dot{q}_{\text{cr,PB}} = 3.2 p^{*0.45} (1 - p^*)^{1.2} \dot{q}_{\text{cr,0.1}}, \quad \text{for } p^* \geq 0.1, \quad (\text{A.15})$$

654 and the critical heat flux for the case $p^* = 0.1$ is

$$\dot{q}_{\text{cr,0.1}} = 0.144 \Delta h_v ((\rho' - \rho'')\rho'')^{0.5} ((g \sigma)/\rho')^{0.25} \text{Pr}^{-0.245}, \quad (\text{A.16})$$

655 where Δh_v is the heat of vaporization, ρ' and ρ'' the saturated liquid and va-
 656 por density, respectively, g the standard gravity constant and σ the surface
 657 tension. Further, the normalized heat transfer coefficient and heat flux were
 658 available in the literature with $\alpha_0 = 2910 \text{ W}/(\text{m}^2\text{K})$ and $\dot{q}_0 = 20000 \text{ W}/\text{m}^2$
 659 for toluene.

660 For the present case of employing a hydrocarbon and a low thermal con-
 661 ductivity of the wall material (product of wall thickness and its thermal
 662 conductivity $\lambda_S \cdot t \leq 0.7 \text{ W}/\text{K}$) the exponent n has to be calculated with

$$n = \kappa (0.9 - 0.36 p^{*0.13}), \quad (\text{A.17})$$

663 and

$$\kappa = 0.675 + 0.325 \tanh(3.711(\lambda_S \cdot t - 0.0324)). \quad (\text{A.18})$$

664 The influence of the fluid properties was calculated with

$$C_{F^*} = 0.789 \left(\frac{M_{\text{WF}}}{M_{\text{H}_2}} \right)^{0.11}, \quad (\text{A.19})$$

665 where M_{WF} and M_{H_2} are the molar masses of the working fluid and of hydro-
 666 gen, respectively. This correlation is valid for $C_{F^*} \leq 2.5$. Due to $\lambda_S \cdot t \leq 0.7$
 667 W/K , a correction for different flow patterns, has to be conducted and it is
 668 $C_F = \psi C_{F^*}$, with the correction factor

$$\psi = 0.46 + 0.4 \tanh(3.387(\lambda_S \cdot t - 0.00862)), \quad (\text{A.20})$$

669 for stratified or wavy flow patterns,

$$\psi = 0.671 + 0.329 \tanh(3.691(\lambda_S \cdot t - 0.00842)), \quad (\text{A.21})$$

670 for slug flow patterns and

$$\psi = 0.755 + 0.245 \tanh(3.702(\lambda_S \cdot t - 0.0125)), \quad (\text{A.22})$$

671 for annular flow patterns. The determination of the flow patterns is described
672 in the following section.

673 *Appendix A.3. Determination of two-phase flow patterns*

674 The different flow patterns that occur at the vapor-liquid flow inside the
675 tubes were determined with a method described in the VDI Wärmeatlas,
676 where a flow pattern map that is based on the work of Taitel and Dukler [45]
677 is used. Here, the main parameter is the Lockhart-Martinelli number

$$X = \left(\frac{1 - \dot{x}}{\dot{x}} \right)^{0.875} \left(\frac{\rho''}{\rho'} \right)^{0.5} \left(\frac{\eta''}{\eta'} \right)^{0.125}, \quad (\text{A.23})$$

678 with the saturated liquid and vapor viscosity η' and η'' , respectively. The
679 limiting curves in the flow pattern map are defined by the following numbers

$$(\text{Re}_L \text{Fr}'_G)^{0.5} = \left(\frac{\dot{M}^3 \dot{x}^2 (1 - \dot{x})}{\rho''(\rho' - \rho'') \eta' g \cos\Theta} \right)^{0.5}, \quad (\text{A.24})$$

$$\text{Fr}_{Gm}^{0.5} = \left(\frac{\dot{M}^2 \dot{x}^2}{g d_i \rho' \rho''} \right)^{0.5}, \quad (\text{A.25})$$

$$(\text{Fr Eu})_L^{0.5} = \left(\frac{\xi' \dot{M}^2 (1 - \dot{x})^2}{2 d_i \rho' (\rho' - \rho'') g \cos\Theta} \right)^{0.5}, \quad (\text{A.26})$$

$$(\text{We}/\text{Fr})_L = \frac{g d_i^2 \rho'}{\sigma}, \quad (\text{A.27})$$

680 following the notation of the VDI Wärmeatlas. The pitch angle of the tubes
681 is considered by Θ and ξ' is the pressure loss coefficient with

$$\xi' = \frac{0.3164}{\text{Re}'^{0.25}}, \quad (\text{A.28})$$

682 with the Reynolds number of the liquid

$$\text{Re}' = \frac{\dot{M}(1 - \dot{x}) d_i}{\eta'}. \quad (\text{A.29})$$

683 *Appendix A.4. Two-phase flow pressure loss*

684 The vapor-liquid flow friction factor in the pressure loss correlation by
685 Garcia et al. [44] was calculated with a Reynolds number that is

$$\text{Re} = \frac{w_i d_i \rho'}{\eta'}, \quad (\text{A.30})$$

686 with the flow velocity

$$w_i = w' + w''. \quad (\text{A.31})$$

687 The employed parameters depending on the flow pattern are listed in Tab.
688 A.6.

Table A.6: Parameters of the employed vapor-liquid pressure loss correlation by Garcia et al. [44].

Parameters	A1	A2	B1	B2	C	D	T
Slug flow	13.98	0.1067	-0.9501	-0.2629	3.577	0.2029	293
Dispersed bubble flow	13.98	0.1067	-0.9501	-0.2629	2.948	0.2236	304
Stratified flow	13.98	0.0445	-0.9501	-0.1874	9.275	0.0324	300
Annular flow	3.671	0.0270	-0.6257	-0.1225	2.191	0.2072	10000

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