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Granger causal connectivity dissociates navigation networks that subserve allocentric and egocentric path integration

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| 1 | Granger Causal Connectivity Dissociates Navigation Networks that | | | | | |
|---------|--|--|--|--|--|--|
| 2 | 2 Subserve Allocentric and Egocentric Path Integration | | | | | |
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| 11 | Abstract | | | | | |

Abstract

12 Studies on spatial navigation demonstrate a significant role of the retrosplenial 13 complex (RSC) in the transformation of egocentric and allocentric information 14 into complementary spatial reference frames (SRFs). The tight anatomical 15 connections of the RSC with a wide range of other cortical regions processing 16 spatial information support its vital role within the human navigation network. 17 To better understand how different areas of the navigational network interact, we investigated the dynamic causal interactions of brain regions involved in 18 19 solving a virtual navigation task. EEG signals were decomposed by independent 20 component analysis (ICA) and subsequently examined for information flow 21 between clusters of independent components (ICs) using direct short-time 22 directed transfer function (sdDTF). The results revealed information flow 23 between the anterior cingulate cortex and the left prefrontal cortex in the theta 24 (4-7 Hz) frequency band and between the prefrontal, motor, parietal, and 25 occipital cortices as well as the RSC in the alpha (8–13 Hz) frequency band. When 26 participants preference to use distinct reference frames (egocentric vs. 27 allocentric) during navigation was considered, a dominant occipito-parieto-RSC 28 network was identified in allocentric navigators. These results are in line with the 29 assumption that the RSC, parietal, and occipital cortices are involved in 30 transforming egocentric visual spatial information into an allocentric reference frame. Moreover, the RSC demonstrated the strongest causal flow during changes 31 32 in orientation, suggesting that this structure directly provides information on 33 heading changes in humans.

Keywords: spatial navigation, allocentric, egocentric, retrosplenial complex,
brain connectivity

36

37 **1. Introduction**

38 Successful navigation in well-known and unknown environments requires 39 simultaneous processing and integration of spatial information based on 40 allocentric and egocentric spatial reference frames (SRFs) [Klatzky, 1998]. Reference frames are a means to represent spatial information based on 41 egocentric or allocentric coordinate systems. An allocentric representational 42 43 system is centered on aspects of the environment and represents the location of 44 entities in space with respect to allothetic information like cardinal directions. In 45 contrast, an egocentric representational system is centered on aspects of the navigator's physical structure and thus varies with changes in orientation of the 46 47 navigator. Importantly, successful navigation requires integration of spatial

48 information from both egocentric and allocentric representations to allow goal-49 directed action in the environment (Gramann, 2013).

50 The computation, integration, and exchange of spatial information based 51 different SRFs involves a network of brain structures including the medial 52 temporal cortex, the cingulate gyrus, the frontal, parietal, and occipital cortices, as 53 well as the retrosplenial complex (RSC) [Hartley et al., 2003; Maguire et al., 1998; 54 Whitlock et al., 2008]. Imaging studies investigating the neural structures 55 underlying egocentric and allocentric spatial navigation have revealed that the 56 parietal cortex subserves the computation of egocentric SRFs by integrating self-57 motion cues from the kinesthetic, vestibular, and visual systems [Zaehle et al., 58 2007; Committeri et al., 2004; Cohen and Andersen, 2002]. In contrast, the use of 59 an allocentric SRF mainly engages medial temporal brain structures [Doeller et al., 60 2010; Ekstrom et al., 2003; Howard et al., 2014; Jacobs et al., 2013; Maguire et al., 61 1998; Wolbers and Büchel, 2005]. Moreover, the RSC has been found to play 62 important roles in computing and maintaining allocentric spatial representations 63 and in transforming spatial information between egocentric and allocentric 64 reference frames [Byrne et al., 2007; Dhindsa et al., 2014; Vann et al., 2009; Zhang et al., 2012]. 65

Many of these brain areas are simultaneously active during navigation tasks, and coupling of functionally specialized brain regions appears to be necessary for successful navigation [Ekstrom et al., 2014]. Recent EEG studies have reported high coherence of the alpha and theta frequency bands in a large-scale cortical network recruited during spatial navigation [Li et al., 2009; Ramos-Loyo and

Sanchez-Loyo, 2011]. Connectivity across various brain areas with modulations in the theta and alpha frequency ranges may support the synchronization of largescale cortical interactions [Palva and Palva, 2011; Sauseng et al., 2005] and is one of the essential neuronal mechanisms for higher cognitive functions [Siegel et al., 2012]. However, investigations describing the flow of information within these cortical networks with high temporal resolution are scarce, and the architecture of the spatial navigation network is not well understood.

To further our understanding of connectivity in the navigation network, we 78 79 used high-density EEG and Granger causality analysis to investigate which brain 80 regions are causally connected while participants updated their position and 81 orientation during navigation. Previous studies using path integration paradigms 82 showed that the individual preference to use either an egocentric or an allocentric 83 reference frame is stable for individuals [Gramann et al., 2005], is based on higher 84 cognitive functions [Gramann et al., 2009], depends on core areas of the navigation 85 network [Gramann et al., 2006; Gramann et al., 2010; Seubert et al., 2008], and can 86 be reliably observed in different populations [Gramann et al., 2012; Goeke et al., 87 2013; 2015]. Previous studies also demonstrated navigation-related modulations 88 of distinct frequency bands that were dependent on the reference frame proclivity 89 of participants [Chiu et al., 2012; Gramann et al., 2010; Lin et al., 2015; Plank et al., 90 2010]. To further investigate the information flow in the human navigation 91 network and to understand how information flow differs between egocentric and 92 allocentric navigators, we analyzed granger causal information flow in EEG data 93 recorded during a virtual path integration task.

95 **2. Results**

96 For allocentric and egocentric participants, the behavioral performance 97 including homing angle and homing position were reported. The analysis of direct 98 information transfer between clusters of ICs revealed event related causality (ERC) 99 in the time-frequency distribution between several cortical regions. Widespread 100 brain regions were involved in path integration, revealing directed ERC between 101 the anterior cingulate cortex (ACC), the RSC, and the lateral prefrontal, motor, 102 parietal, and occipital cortices for all participants. The causal information flows 103 were significantly increased in distinct frequency band including delta (below 3.5 104 Hz), theta (4–7 Hz), alpha (8–13 Hz), and beta (14–30 Hz).

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106 **2.1 Behavioral performance**

107 The mean homing responses are displayed in Figure 1 for both allocentric and 108 egocentric indicated as dotted and straight line, respectively. In Figure 1A, the 109 result of homing response indicating that using an egocentric SRF indicated 110 opposite homing directions as compared to homing responses of allocentric, using 111 an allocentric SRF (p < 0.01). The homing responses for allocentric and egocentric 112 consistently differed in each path configuration supported the hypothesis that 113 both strategy groups used a distinct reference frame for their homing responses 114 for path integration in the virtual navigation environment. The homing 115 performance also shows the significant differences in homing error for allocentric and egocentric participants in Figure 1B (p < 0.01). In Figure 1B, egocentric reveals 116

higher accuracy for low eccentric end positions (18.4°, 26.5°, and 33.6), in contract,

118 the allocentric were more accurate for higher eccentricities (above 45°).

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- 121

122 **2.2 Time-frequency distribution of causal information inflow**

123 Figure 2 displays the average dynamic causal relationships between selected 124 anterior brain regions during path integration as compared to the baseline 125 condition for egocentric and allocentric participants (please see supplementary 126 Figure 1 for connectivity pattern between all clusters). As shown in Figure. 2, 127 significant ERC increases were observed between a cluster with its centroid 128 located in or near the ACC and clusters with their centroids located in or near the 129 left and right prefrontal areas (bootstrapping, false discovery rate (FDR)-adjusted p < 0.05). The ERC flow between these areas was significant for the theta, the alpha, 130 131 and the beta frequency band (bootstrapping, FDR-adjusted p < 0.05). The 132 sustained bidirectional ERC increase in the theta and alpha band between ACC and 133 left prefrontal cortex (ACC<->LPF) was observed for both egocentric and 134 allocentric participants while only egocentric navigators showed ERC increases in 135 the beta band around 20 Hz (ACC->LPF & ACC->RPF). The prefrontal cortex 136 further revealed sustained ERC decreases from the left motor to the left prefrontal 137 cortex (LM->LPF) for allocentric navigators only. Additional sustained ERC 138 increases between the prefrontal cortex and posterior cortex was observed (see 139 supplementary Figure 1), from the left parietal to the left prefrontal cortex (LP-

140 >LPF).

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144 The time course of ERC demonstrated more pronounced information flow in 145 the theta band between ACC and the left motor cortex (ACC<->LM) for egocentric 146 participants during the stimulus turn (see Figure 2). Reciprocal ERC in the alpha 147 band between right prefrontal and the right motor cortex (RPF<->RM) increased 148 during the stimulus turn and the following straight segments. For allocentric 149 navigators, in contrast, alpha ERC from the left prefrontal cortex to the left motor 150 cortex (LPF->LM) was strongest during stimulus turns and part of straight 151 segments before and after the turn (see Figure 2). Allocentric participants also 152 showed reciprocal alpha ERC between the right prefrontal cortex and the right 153 motor cortex (RPF<->RM) mainly during straight segments (see Figure 2).

Stronger ERC increases were revealed in more posterior brain regions 154 155 including the motor, the parietal, and the occipital cortex as well as the RSC (see 156 Figure 3). ERC increases in the alpha band were found between motor and parietal 157 areas during the complete path most pronounced for egocentric participants. 158 Significant reciprocal alpha ERCs between the left and right motor cortices (LM<-159 >RM) was only found for egocentric participants while alpha ERCs between the 160 left and right parietal cortices (LP<->RP) were more pronounced in allocentric 161 participants (bootstrapping, FDR-adjusted p < 0.05). Both strategy groups 162 demonstrated stronger intrahemispheric ERC, for example, reciprocal alpha ERC

| 163 | between the parietal and motor cortices within the right and left hemisphere were |
|-----|---|
| 164 | more pronounced than between the hemispheres. The left parietal cortex showed |
| 165 | more pronounced ERC with anterior regions such as the prefrontal and motor |
| 166 | cortices, whereas the right parietal cortex showed stronger ERC with posterior |
| 167 | regions such as the RSC and occipital cortex (see supplementary Figure 1). This |
| 168 | difference in the ERC pattern was more pronounced for allocentric participants |
| 169 | (bootstrapping, FDR-adjusted $p < 0.05$). |

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********** insert Figure 3 here *********

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173 In or near the RSC, ERC flows from and to the parietal and occipital cortices 174 comprised a wider frequency range, including the delta and alpha bands. 175 Bidirectional ERC between the RSC and parietal cortex (RSC<->RP) in the alpha 176 and delta frequency bands was found for both allocentric and egocentric 177 participants, although more pronounced in allocentric navigators (bootstrapping, 178 FDR-adjusted p < 0.05). In the allocentric group, the RSC revealed alpha ERC with 179 the right parietal cortex (RSC->RP) before and during stimulus turns. The RSC 180 received weaker alpha flow from the right parietal cortex (PR->RSC). It is 181 important to note that information flow between the RSC and parietal cortex 182 increased in the higher alpha band (from 10 Hz to 12 Hz) before stimulus turns, 183 whereas it increased in the low alpha band during stimulus turns (see Figure 3). 184 Reciprocal ERC between the RSC and the occipital cortex (RSC<->Occ) in the

8

alpha and delta frequency bands was found for both allocentric and egocentric

navigators. Egocentric participants demonstrated reciprocal delta ERC during
straight segments, before and after stimulus turns, and alpha ERC during stimulus
turns. Allocentric participants demonstrated sustained, reciprocal delta and alpha
ERC throughout the task. In addition, strategy-specific bidirectional alpha transfer
between the RSC and the right motor cortex (RSC<->RM) was found only for
egocentric navigators (see Figure 3).

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193 **2.3 Reference frame-specific differences in ERC**

Significant ERC differences between allocentric and egocentric navigators in
distinct frequency bands and time periods of the navigation task are illustrated in
Figure 4 and Figure 5 for the anterior and the posterior network, respectively.

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As shown in Figure 4, sustained ERC differences in the alpha band were found from the left motor to the left prefrontal cortex (LM->LPF) and the left prefrontal cortex to the left motor cortex (LPF->LM). Sustained ERC differences were also observed in the delta band from left prefrontal cortex to right prefrontal cortex (LPF->RPF) and around 25 Hz from the left and the right prefrontal cortex to the ACC (LPF->ACC & RPF->ACC).

Task-related ERC differences were observed during stimulus turns, with egocentric navigators demonstrating significantly increased ERC as compared to allocentric navigators between ACC and the left motor cortex (ACC<->LM), from ACC to the right prefrontal cortex (ACC->RPF) in theta band and between right prefrontal cortex and right motor cortex (RPF<->RM) in the alpha band. Egocentric groups also showed significantly increased ERC in alpha band from the left motor cortex to the right motor cortex (LM->RM) in the straight segment before stimulus turn (bootstrapping, FDR-adjusted p < 0.05).

214 ERC differences in the delta and alpha band were found in the posterior 215 network as showed in Figure 5 (bootstrapping, FDR-adjusted p < 0.05). Sustained 216 significant ERC difference in alpha band between strategy groups were found 217 between the left parietal cortex and left motor cortex (LP<->LM) and the left and 218 right parietal cortex (LP<->RP) (bootstrapping, FDR-adjusted p < 0.05). 219 Allocentric participants further demonstrated significantly stronger ERC in delta 220 band from the left motor cortex to left parietal cortex (LM->LP) over all navigation 221 segments (bootstrapping, FDR-adjusted p < 0.05). The RSC and the occipital cortex, 222 crucial regions for spatial navigation, revealed significant strategy dependent 223 ERCs with other brain areas (bootstrapping, FDR-adjusted p < 0.05). Allocentric 224 navigators showed stronger ERC in the alpha band between the RSC and the right 225 parietal cortex as well as the occipital cortex, and the right parietal cortex (RSC<-226 >RP & Occ<->RP) especially during stimulus turns. ERC differences between the 227 RSC and occipital cortex (RSC<->Occ) were revealed in the delta band for straight 228 segments before and after the turn and in the alpha band during stimulus turns. 229 Moreover, ERC between the RSC and the right motor cortex (RSC<->RM) was 230 significantly stronger for egocentric participants (bootstrapping, FDR-adjusted *p* 231 < 0.05).

232

233

235 **3. Discussion**

236 In this study, we found ERC flow in the delta (1–3.5 Hz), theta (4–7 Hz), alpha 237 (8-13 Hz), and beta (14-30 Hz) frequency bands in the human navigation network 238 during virtual path integration. The dominant frequency characteristics of this 239 network were in line with previous EEG studies demonstrating theta power 240 increases in the frontal cortex to co-vary with alpha power changes in the motor, 241 parietal, and occipital cortices as well as the RSC [Lin et al., 2015; Chiu et al., 2012; 242 Plank et al., 2010; Gramann et al., 2010]. Other studies using coherence analysis 243 also revealed increased coherence of the theta and alpha frequency bands during 244 navigation tasks [Li et al., 2009]. Using sdDTF we found direct Granger causal 245 relationships between different brain regions that demonstrated task-related 246 modulations and significant differences dependent on the reference frame that 247 was used for spatial updating.

The results allow for a broad classification of two functionally distinct, but overlapping cortical networks. One network, the *anterior navigation network*, demonstrated significant ERC flows between anterior areas including the ACC and bilateral prefrontal cortices, extending to the motor and parietal cortices (see Figure 4). A second network, the *posterior navigation network*, included the motor cortex as well as the parietal and occipital cortices, and the RSC (see Figure 5). These results are in line with anatomical findings [Brodmann, 2006; Fuster, 2003;

Maguire, 2001; Morris et al., 2000; Vann et al., 2009] and underscore the centralrole of these regions within the navigation network.

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3.1 Anterior navigation network

259 The frontal cortex is crucial for working memory functions and plays a central 260 role in various tasks including, but not limited to, spatial orientation [Courtney et al., 1998; Curtis, 2006; Zanto et al., 2011]. Imaging studies have revealed that the 261 262 demand of visuospatial working memory is reflected by increased activity within 263 the prefrontal and the dorsolateral prefrontal cortices [Barbey et al., 2013]. In this 264 study, we have shown theta connectivity between clusters of ICs with the cluster centroids located in or near the ACC and the right and left dorsolateral prefrontal 265 266 cortices for both strategy groups (see Figure. 4). The positive correlation between 267 the increased frontal theta power and the demanding navigation has been 268 reported in the previous studies [Caplan et al., 2003; Kahana et al., 1999] and are 269 in line with recent results indicating increased prefrontal cortex activity with more 270 complex navigation decisions [Javadi et al., 2017]. Thus, the observed theta 271 connectivity between the ACC and the right and left dorsolateral prefrontal 272 cortices points to varying working memory demands during the spatial navigation 273 task.

The dorsolateral prefrontal region further demonstrated connectivity with the motor cortex for both strategy groups. This finding is in line with the assumption that the dorsolateral prefrontal cortex is involved in various tasks requiring higher order motor planning and control [Cieslik et al., 2013; Rowe et al.,

2005]. Other studies have shown that first-person perspective navigation also activates the premotor cortex and parietal cortex, besides the dorsolateral prefrontal cortex [Baumgartner et al., 2008; Jäncke et al., 2009]. Our results support the assumption that information exchange between the dorsolateral frontal and ipsilateral motor cortices is independent of the reference frame used and that it may reflect the direction of attention to the visual motion stimuli [Curtis and D'Esposito, 2003].

Different aspects of the path integration task required working memory resources to maintain and update orientation changes with respect to the starting position. The neural basis for this aspect of spatial updating was provided through a network involving information flow between the ACC and dorsolateral prefrontal cortex in the theta and beta frequency bands. Imagined movement and integration of task-related movement information involved alpha connectivity between the prefrontal, motor, and parietal cortices.

292

293 **3.2 Posterior navigation (RSC-related) network**

In addition to the anterior navigation network, a posterior navigation network revealed the RSC to be causally connected with the motor cortex and the parietal and occipital cortices (see Figure 5). In our previous study, we found covarying power changes in the alpha frequency band in the parietal and occipital cortices and the RSC, reflecting the involvement of these regions in spatial information processing [Lin et al., 2015]. The present study demonstrated this alpha rhythm to provide a causal connection between these areas. This posterior navigation network, with the RSC as a hub connecting functionally different
regions, plays a vital role in allocentric and egocentric spatial information
processing.

304 The RSC has been implied as central to the navigation network [Byrne et al., 305 2007; Ino et al., 2007; Maguire, 2001; Rosenbaum et al., 2004; Vann et al., 2009], 306 particularly with respect to the transformation of egocentric and allocentric 307 information. Several authors suggest the RSC to be responsible for transforming 308 idiothetic spatial information, such as visual flow and other self-motion cues, into 309 an allocentric representation [Byrne et al., 2007; Dhindsa et al., 2014; Vann et al., 310 2009; Zhang et al., 2012]. In this study, we observed that the RSC was causally 311 connected with the parietal and occipital cortices during path integration, mainly 312 through modulations in the alpha frequency range. In addition, we observed that 313 alpha connectivity between the RSC and the occipital cortex was sustained 314 throughout the navigation period and more pronounced for allocentric navigators. 315 Successful navigation required the participants to continuously maintain their 316 position changes with respect to the origin of the passage. Sustained ERC flows 317 between the RSC and occipital cortex during straight segments arguably reflect the 318 engagement of continuous integration of visual information from a first-person 319 perspective into an allocentric representation.

In contrast to straight segments that provided information on translational changes without changes in heading, stimulus turns provided information only on heading changes but not on changes in position. During rotations on the spot, the RSC revealed the strongest causal connectivity with the parietal cortex for

324 allocentric participants (see Figure 5). Baumann and Mattingley [2010] found that 325 the medial parietal cortex was engaged in the computation of allocentric 326 directions. Recently, Marchette et al. [2014] demonstrated BOLD activations in the 327 RSC during encoding of allocentric heading directions. Taking these findings 328 together, ERC flow in the alpha band between the RSC and parietal cortex is 329 proposed to reflect the integration of changes in heading with respect to an 330 allocentric heading direction. These findings support the idea that the RSC is crucial for allocentric information processing and that the connectivity between 331 332 the RSC, occipital, and parietal cortices reflects network activity subserving the 333 transformation of egocentric information into an allocentric representation based 334 on heading information provided by the RSC itself.

335 In rats, the RSC was found to transmit spatial information to anterior brain 336 regions, including the motor cortex, through direct anatomical connections 337 [Shibata et al., 2004; White et al., 2011]. The human RSC is located within and 338 adjacent to the dorsal posterior cingulate cortex, which shows extensive efferent 339 and afferent connections with cortical areas that process visuospatial information 340 and information on the orientation of the body in space via interaction with 341 numerous premotor areas, including the cingulate motor area [Vogt et al., 2006]. 342 Besides strategy-related connectivity patterns, delta flows between the RSC and 343 the occipital cortex were found during the complete navigation phase for both 344 strategy groups. The delta RSC-occipital cortex causal flow possibly reflects the 345 processing of first-person perspective movement information that is fed from the occipital cortex into the RSC [Jacobs et al., 2010]. Thus, the present study further 346

supports the emerging idea that the RSC is not only specialized for transforming
egocentric and allocentric information into different reference frames but also
serves a more general function in spatial behavior requiring further investigation.

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351 4. Limitation and Conclusion

352 Our work discovered a large-scale navigation network subserving spatial 353 orientation on the basis of egocentric and allocentric SRFs. A number of caveats 354 need to be noted regarding the current research. The first limitation is the data 355 analysis. Since the signal-to-noise ratio is poor in EEG data, the relative processing 356 methods are needed to extract the useful information from EEG. The analysing 357 methods including pre-processing, noise removal, source separation and location 358 were also required to estimate the functional causalities by SIFT. The second 359 limitation is the subject size. This navigation network shown in this manuscript 360 was gained through two sets of participants who finalized the designed tasks in 361 the well-control laboratory.

362 The network can be differentiated into two functionally distinct but 363 overlapping cortical networks: 1) an anterior navigation network, including the 364 ACC and bilateral prefrontal cortices, extending to the motor and parietal cortices 365 and 2) a posterior navigation network, with the RSC as the central hub connected 366 with the motor, parietal, and occipital cortices. Spatial orienting recruited both the 367 anterior network for spatial information retention and motion imagery/execution 368 and the posterior network for processing and integration of visuospatial information. The RSC demonstrated strong alpha connectivity with the occipital 369

and parietal cortices most pronounced for allocentric participants, supporting the assumption that first-person sensory information is transformed into an allocentric spatial representation and vice versa through the RSC. This result strongly supports the assumption that egocentric and allocentric reference frames are active in parallel, rather than the assumption that only one reference frame is used to solve the task. The preferred use of one or the other SRF only modulates the strength of activation and connectivity with other brain areas.

377

378 **5. Materials and Methods**

379 5.1 Homing Task

380 We used a VR path integration task with passive transportation during 381 environments with clear geometric structure and rich visual flow information (for 382 a detailed description of the task please see Lin et al., 2015). Participants always 383 started from the same position (marked by star in Figure 6A) in the VR scenario 384 and were passively guided along different trajectories (as shown in Figure 6). All 385 trajectories were composed of varying numbers of straight segments of the same 386 length before and after a stimulus turn. All stimulus turns were 90° rotations on 387 the spot, to the left or the right. The participants were asked to maintain their 388 orientation during the navigation phase and to point back to the start position 389 (marked by star in Figure 6A) at the end of a passage. The National Chiao Tung 390 University (NCTU) ethics committee approved this study.

- 391
- 392 ********* insert Figure 6 here *********

394 Each experimental block started with a baseline trial in which participants 395 experienced a random walk through the maze environment. First, for 2 s, they saw 396 a picture of an arrow pointing in any direction between -180° and 180°. Then, 397 they moved through the maze in a random manner, experiencing translations and 398 rotations for 1 min. The participants required to focus on the visual flow without 399 actively orienting. After the random walk period, a response arrow was displayed 400 one the screen and the participants were asked to adjust the angle of arrow to 401 match the pointing direction of the initially presented arrow. The participants 402 perceived comparable visual flow during experimental and baseline trials without 403 the need for active spatial updating during the baseline condition [Wolbers et al., 404 2007].

405

406 **5.2 EEG Recording and Analysis**

407 Twenty-one right-handed male participants performed the task. Participants 408 were categorized as allocentric or egocentric navigators based on their responses 409 after each trial resulting in 9 allocentric and 12 egocentric navigators (mean strategy-consistent adjustments = 98.4%, sd = 2.1%). EEG signals were recorded 410 411 using 64 electrodes placed in an elastic cap according to the extended 10-20 412 system. EEG data were acquired by the Scan NuAmps Express system 413 (Compumedics Ltd., VIC, Australia) referenced to Cz and digitized at 1 KHz and 32bit precision. All channels had impedances below 5 k Ω . 414

415 The recorded signals were analyzed with EEGLAB [Delorme and Makeig, 2004], 416 first down-sampled to 250 Hz and then filtered to remove frequencies below 0.5 417 Hz and above 50 Hz. The acquired signals were re-referenced by the averaging 418 values from the all channels. The filtered data were visually inspected and 419 manually cleaned in the time and the channel domain. Short time periods with 420 bursts of higher frequencies resembling muscle artifacts were manually marked 421 and subsequently removed from the continuous data. Eye movements were not 422 removed to allow independent component analysis (ICA) to decompose eye 423 movement related activity. The channel data without any activity over longer time 424 periods and the channel data with strong deviation from neighboring channels 425 were indicated as "dead channels" and "noisy channels", respectively. This 426 criterion led to the removal of 4.4 channels (3.2 sd) per participant.

427 After removing artifacts, adaptive mixture independent component analysis 428 (AMICA) [Palmer et al., 2008] was applied to decompose EEG data into statistically 429 maximally independent time source series (independent components, ICs), 430 allowing further estimation of information flow between ICs. To approximate the 431 spatial origin of IC activations, an equivalent current dipole model was computed for each IC in a four-shell spherical head model using DIPFIT2 routines 432 433 [Oostenveld and Oostendorp, 2002]. Subsequently, individual ICs were clustered 434 across participants based on the time course of event-related potentials (ERPs), 435 mean IC log spectra, equivalent dipole locations, event-related spectral perturbation (ERSP), and intertrial coherence (ITC), replicating the setting of K-436

437 means clustering used in previous studies [Gramann et al., 2010; Chiu et al., 2012;
438 Lin et al., 2015].

439 From an initial set of 1,209 ICs of all participants, 897 ICs with a residual 440 variance of the equivalent dipole model of less than 15% were clustered. Finally, 441 nine clusters with a total of 171 ICs were identified as brain sources based on their 442 locations in or near the grey matter of the head model. The reconstruction of 443 sources based on EEG data provides only an approximation of the unknown source locations and any description of cortical structures is based on an estimate 444 445 of the real source location. The centroids of these clusters were located throughout the brain including brain regions as shown in Table I. 446

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449

450 **5.3 Causality Analysis**

451 IC time series were analyzed using the Source Information Flow Toolbox 452 (SIFT) [Delorme et al., 2011; Mullen et al., 2010], an open source toolbox for brain 453 connectivity analysis. Based on the concept of causal influence as put forward by 454 Granger [1969], direct directed transfer function (dDTF) [Korzeniewska et al., 455 2003], a measurement based on vector autoregressive (VAR) models was used to 456 estimate the directionality as well as the intensity of causal interactions in brain 457 dynamics [Babiloni et al., 2005; Deshpande et al., 2009; Ginter et al., 2005; Kus and 458 Blinowska, 2008]. This approach determines the frequency band in which the 459 causal influence occurs and the short-time version of the algorithm [sdDTF;

Korzeniewska et al., 2008], and related methods [Ding et al., 2000] are capable of
capturing the temporal evolution of direct causal influences between brain regions
and have been successfully applied to evaluate causal influences in
electrophysiological signals such as EEG [Iversen et al., 2014; Markman et al., 2013]
and ECoG [Korzeniewska et al., 2003; Mullen et al., 2011].

465 The IC signals were first down-sampled to 128 Hz and then normalized in a 466 2-step procedure. In the first step, data were normalized across time for each 467 epoch by subtracting the mean and dividing by the standard deviation of the epoch 468 data. The second step was the ensemble normalization of data across epochs; here, the ensemble average was subtracted from the data and then the result was 469 470 divided by the ensemble standard deviation. Subsequently, a parametric linear 471 vector autoregressive (VAR) model was fitted to the IC signals on the basis of a 472 multivariate least-squares approach. The VAR model assumes that the value of the 473 multi-channel time series at a given time point depends on the values of a certain 474 number of previous time points. The number of previous points was optimally 475 selected as the model order using the Akaike Information Criterion [Akaike, 1974]. 476 The "ARfit" routine in SIFT was applied to the IC data to estimate the VAR model of 477 order 15 with a sliding window of 500 ms and a step size of 50 ms. Subsequently, 478 the model was validated by tests of residual whiteness and stability [Lütkepohl, 479 2005]. Based on the model coefficients, the short-time dDTF was estimated to 480 measure the causal information transferred between ICs in the frequency band of 1-50 Hz for each overlapping sliding window, reflecting the dynamic time-481 482 frequency information flow between the brain sources.

483 5.4 Statistical Analysis

The two-way ANOVA statistical test and post hoc Wilcoxon signed-rank test were introduced to test the behavioural information ('strategy' x 'end Position'). A mix-model ANOVA statistic showed that the turning direction had no impact on homing angles, thus left and right turning trials were merged to investigate homing performance (homing angles) for both strategy groups.

489 Following the estimation of causality, phase randomization, a non-parametric 490 surrogate statistical test was applied with FDR correction on each time-frequency 491 point of the sdDTF matrix to find significant (p<0.05) non-zero causality between 492 signals. The phase randomization method generated a null surrogate distribution 493 containing zero-information-flow by randomizing the phases of IC signals but 494 preserving their amplitudes and then tested the measured causality against this 495 surrogate distribution for each time-frequency point [Theiler et al., 1992]. To 496 further measure significant causal flow as compared to the baseline condition, 497 bootstrapping tests with FDR correction were applied to each time-frequency 498 point of sdDTF matrix. To this end the causality values of the baseline condition 499 were subtracted from causality values of navigation segments and the difference 500 was averaged across subjects resulting in an event-related causality (ERC) matrix. 501 Non-significant ERC-values were masked (using green color) and only 502 significantly deviations from the baseline condition after FDR correction (p<0.05) 503 were color-coded (with blue colors for negative values and red colors for positive 504 values). For the analysis of differences between strategy groups (egocentric vs. 505 allocentric navigators), bootstrapping test and FDR correction were applied to

| 506 | each time-frequency point of ERC of allocentric and egocentric navigators and |
|-----|--|
| 507 | significant ERC-differences were color-coded as described for the individual ERCs. |
| 508 | |
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761 Figure Legend

Figure 1: (A) Circular mean homing responses for Allocentric and Egocentric at
the end position of a-i and <u>a-i</u>. Dotted gray line: Allocentric, solid gray line:
Egocentric, dotted black line: mean homing response across Allocetric, solid black
line: mean homing response across Egocentric, black ticks: correct homing angles
for Allocentric and Egocentric. (B) Mean homing angles and errors in different end
position. Blue line: Egocentric, red line: Allocentric, black line: expected homing
response.

769

770 Figure 2: Time-frequency distribution of significant event-related causality (ERC) 771 flow from each cluster (columns) to other clusters (rows) for the anterior 772 navigation network. Because ERC flow was baseline-corrected, values range from 773 -1 to 1 instead of 0 (no granger causal flow) to 1 (maximum granger causal flow). 774 The scale for x and y axes is labeled in the bottom right of the figure. The bottom 775 row shows the sequential passage steps for both strategy groups: (straight) 776 passively moving forward by following the guiding arrow, (decelerate) 777 approaching the turning point and slowing down for turning, (turn) turning on the 778 spot, (straight) following the guiding arrow and moving forward again, and (end) 779 approaching the end and slowing down to stop. ACC, anterior cingulate cortex; LPF, 780 left prefrontal cortex; RPF, right prefrontal cortex; LM, left motor cortex; RM, right 781 motor cortex.

782

783 **Figure 3:** Time-frequency distribution of significant event-related causality (ERC)

784 flow from each cluster (columns) to other clusters (rows) for the posterior 785 navigaiton network. The bottom row shows the sequential passage steps for both 786 strategy groups: (straight) passively moving forward by following the guiding 787 arrow, (decelerate) approaching the turning point and slowing down for turning, 788 (turn) turning on the spot, (straight) following the guiding arrow and moving 789 forward again, and (end) approaching the end and slowing down to stop. LM, left 790 motor cortex; RM, right motor cortex; LP, left parietal cortex; RP, right parietal 791 cortex; RSC, retrosplenial complex; Occ, occipital cortex.

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Figure 4: Time-frequency distribution of significant differences in event-related
causality (ERC) flow for the anterior navigation network computed by subtracting
ERC of egocentric participants from ERC of allocentric participants. The figure
layout is same as Figure 3. ACC, anterior cingulate cortex; LPF, left prefrontal cortex;
RPF, right prefrontal cortex; LM, left motor cortex; RM, right motor cortex.

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Figure 5: Time-frequency distribution of significant differences in event-related
causality (ERC) flow for the posterior navigation network computed by
subtracting ERC of egocentric participants from ERC of allocentric participants.
The figure layout is same as Figure 3. LM, left motor cortex; RM, right motor cortex;
LP, left parietal cortex; RP, right parietal cortex; RSC, retrosplenial complex; Occ,
occipital cortex.

805

806 Figure 6: An illustration of the experimental design. (A) The virtual maze was a

807 grid-like navigation environment with irregular stonewalls and roads. The 808 participants were guided along different possible paths (one example indicated by 809 the gray dotted line) from the starting location (star) to an end position (circle). 810 (B) Illustration of homing responses of the allocentric (dark gray head) and 811 egocentric (light gray head) participants for a rightward turn. The homing 812 directions for such a rightward path differed between the strategy groups, with 813 the egocentric participants pointing back and to their right and the allocentric 814 participants pointing back and to their left. (C) Screenshots of the homing task. 815 The homing task required the participants to maintain their orientation during the 816 navigation phase for 6–14 s depending on the path length until the end position 817 was reached. After the navigation phase, a 3D homing arrow was displayed and 818 the participants were required to point the homing direction by adjusting the 819 arrow.

820

Supplementary Figure 1: Time-frequency distribution of significant ERC flow
from each cluster (columns) to other clusters (rows) for all selected clusters.
Figure layout is the same as Figure. 2. ACC, anterior cingulate cortex; LF, left
prefrontal cortex; RF, right prefrontal cortex; LM, left motor cortex; RM, right
motor cortex; LP, left parietal cortex; RP, right parietal cortex; RSC, retrosplenial
complex; Occ, occipital cortex.

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Tables

| Table I Centroids of IC clusters and the brain region | | | | |
|---|-----|-----|----|---------------------------------|
| | Х | Y | Z | Brain Region |
| Cls 1 | -1 | 37 | 33 | anterior cingulate cortex (ACC) |
| Cls 2 | -22 | 9 | 40 | left prefrontal cortex (LPF) |
| Cls 3 | 26 | 15 | 42 | right prefrontal cortex (RPF) |
| Cls 4 | -41 | -16 | 44 | left motor cortex (LM) |
| Cls 5 | 40 | -19 | 44 | right motor cortex (RM) |
| Cls 6 | -17 | -37 | 42 | left parietal cortex (LP) |
| Cls 7 | 12 | -41 | 39 | right parietal cortex (RP) |
| Cls 8 | 12 | -57 | 6 | retrosplenial complex (RSC) |
| Cls 9 | 7 | -87 | 24 | occipital cortex (Occ) |